2011 TAFE Performance Agreement
Training Services Delivery

(the Service Agreement)

BETWEEN Victorian Skills Commission
(the Commission)
89 290 258 378
2 Treasury Place
East Melbourne VIC 3002

AND «STARLegal»
(the Board/Council)
«ABN»

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State Government of Victoria
BACKGROUND TO THIS AGREEMENT

A. The Commission has the responsibility to provide for the delivery of vocational education and training in Victoria, pursuant to s 3.1.2 of the Education and Training Reform Act 2006 (the Act). Pursuant to s 3.1.4 of the Act, the Commission is empowered to enter into performance agreements including this Agreement.

B. The Board/Council was established to oversee and govern the Institute. The Board/Council is a body corporate and is empowered to enter into agreements including this Agreement pursuant to s 3.1.14 of the Act.

C. The 2011 calendar year represents the first year of full implementation of the Victorian Training Guarantee.

D. The Victorian Training Guarantee delivers an entitlement to government subsidised training for eligible individuals.

E. The Skills for Victoria program is the vehicle through which registered training organisations will be contracted to deliver government subsidised training to eligible individuals under the Victorian Training Guarantee.

F. A key purpose of this Agreement is to set out the terms and conditions under which, pursuant to the Skills for Victoria program the Commission will make available, and the Board/Council will accept, the Funds for the purposes of the Board/Council providing the Training Services to an Eligible Individual who has enrolled and commenced in a training course or qualification with the Institute during the 2011 calendar year.

G. This Agreement also sets out the terms and conditions under which the Commission will make available, and the Board/Council will accept, the Funds for the purposes of the Board/Council providing the Training Services to an Eligible Individual who enrolled and commenced in a training course or qualification with the Institute prior to the 2011 calendar year.
1. DEFINITIONS AND INTERPRETATION

1.1. Definitions

In this Agreement, unless the contrary intention appears:

**Act** means the *Education and Training Reform Act 2006*.

**Agreement** means this Agreement and includes all schedules, annexures, attachments, plans and specifications and exhibits to it.

**AQTF** means the *Australian Quality Training Framework* as updated from time to time.

**Board/Council** means the Board/Council of the Institute specified on the cover page of this Agreement.

**Business Day** means a day which is not a Saturday, Sunday or a public holiday in Melbourne, Victoria.

**CEO** means the Chief Executive Officer (or relevant equivalent) of the Institute.

**Claim** means any cause of action, allegation, claim, demand, debt, liability, suit or proceeding of any nature however arising and whether present or future, fixed or unascertained, actual or contingent or at law (including negligence), in equity, under statute or otherwise.

**Commencement Date** means the later of 1 January 2011 or when this Agreement is executed.

**Commission** means the Victorian Skills Commission, a body corporate, established pursuant to s 3.1.1 (3)(a) of the Act.

**Confidential Information** means all confidential or commercially sensitive information of a Party.

**Contact Hour Funds** means the funds payable by the Commission in relation to the scheduled hours reported by the Board/Council in respect of an Eligible Individual to whom the Board/Council has provided the Training Services as detailed in the Schedules to this Agreement.

**Continuing Student** is defined in Schedule 3 to this Agreement.

**Custodial Setting Maximum Value** means the payment by the Commission to the Board/Council in respect of Eligible Individuals in accordance with Schedule 4 to this Agreement.

**Department** means the Department of Education and Early Childhood Development.

**Dispute Notice** means the notice referred to in Clause 14.

**Eligible Individual** means an individual who is eligible for government subsidised training in accordance with the eligibility requirements set out in the Schedules of the Agreement. For the avoidance of doubt:
a) in the case of Schedule 2, the eligible individual must enrol and commence a training course or qualification with the Board/Council between the Commencement Date and 31 December 2011;

b) in the case of Schedule 3, eligible individuals must have enrolled and commenced the relevant training course or qualification with the Board/Council prior to the Commencement Date and be Continuing Students under Schedule 3; and

c) in the case of Schedule 4, eligible individuals may be continuing students (enrolled and commenced the relevant training course or qualification with the Board/Council prior to the Commencement Date and be continuing in 2011), or new commencements (enrolled and commenced a training course or qualification with the Board/Council between the Commencement Date and 31 December 2011), but must also meet the eligibility criteria under Schedule 4 of this Agreement.

**Entry Requirements** means the criteria for a Board/Council’s entry to an agreement as defined by the Commission from time to time.

**Evidence of Participation** means evidence of an Eligible Individual’s participation in a training course or qualification provided by the Board/Council, as detailed in the Schedules to this Agreement.

**Executive Memoranda** means the executive memoranda issued by the Commission from time to time.

**Fee Concession Reimbursement** means the reimbursement by the Commission for income foregone by the Board/Council by applying a fee concession in respect of an Eligible Individual as detailed in the Schedules to this Agreement.

**Fee Waiver Payment** means the payment by the Commission for income foregone by the Board/Council by applying a fee waiver in respect of an Eligible Individual as detailed in the Schedules to this Agreement.

**Funding Arrangements** is defined in Clause 2.

**Funds** means the money provided by the Commission to the Board/Council under this Agreement in respect of an Eligible Individual for the purposes of the Board/Council providing the Training Services to that individual, consisting of:

a) the Contact Hour Funds;

b) if applicable, the Fee Waiver Payment;

c) if applicable, Fee Concession Reimbursement;
d) the Youth Compact Advance Payment;

e) the Purchased Pipeline Maximum Value;

f) if applicable, the Retrenched Worker 2009 Pipeline;

g) if applicable, the Custodial Setting Maximum Value; and / or

h) if applicable, the NSW Apprenticeships Advance Payment.

**GST** means GST and has the same meaning as specified in the GST Law.

**GST Law** means the *A New Tax System (Goods and Services Tax) Act 1999* (Cth).

**Institute** means the Institute specified on the cover of this Agreement.

**Intellectual Property** means any method, discovery, formulae, copyright, all rights in relation to inventions (including registered and registrable patents), registered and unregistered trade marks, registered and unregistered designs, circuit layouts, know how and confidential information, and all other rights including moral rights resulting from intellectual activity in the industrial, scientific, literary or artistic fields of intangible property.

**Loss** means any loss, damage, liability, cost or expense (including legal expenses on a full indemnity basis) of any kind suffered or incurred or agreed to be paid by way of settlement or compromise.

**Minister** means the Minister for Higher Education and Skills.

**NSW Apprenticeships Advance Payment** means the NSW apprenticeships advance payment by the Commission to the Board/Council in respect of Eligible Individuals in accordance with Clause 3 of Schedule 4 to this Agreement.

**Notice** is defined in Clause 13.1.

**Party/ Parties** mean the parties to this Agreement.

**Purchased Pipeline Maximum Value** means the purchase pipeline payment by the Commission to the Board/Council in respect of Eligible Individuals who are Continuing Students in accordance with Part C of Schedule 3 to this Agreement.

**RCTI** means Recipient Created Tax Invoice and has the same meaning as that term in the GST Law.

**Retrenched Worker 2009 Pipeline** means the payment by the Commission to the Board/Council in respect of an Eligible Individual who is a 'Retrenched Worker 2009 Pipeline Continuing Student' (as defined in Schedule 3) in accordance with Part D of Schedule 3 to this Agreement.

**RTO** means Registered Training Organisation

**Service Agreement** means this Agreement which is a performance agreement under s 3.1.4 of the Act.
State means the Crown in right of the State of Victoria.

Student Statistical Report means reports provided to the Commission in accordance with the Victorian VET Student Statistical Guidelines.

SVTS means the Skills Victoria Training System.

Taxable Supply has the same meaning as specified in the GST Law.

Tax Invoice has the same meaning as specified in the GST Law.

Term is defined in Clause 2.

Training Services means the training and assessment to be provided by the Board/Council to an Eligible Individual, as described in the Schedules to this Agreement.

Youth Compact Advance Payment means the youth compact advance payment by the Commission to the Board/Council in respect of an Eligible Individual who is a Continuing Student in accordance with Part B of Schedule 3 to this Agreement.

1.2. Interpretation

a) If there is any conflict or inconsistency between the terms and conditions contained in the clauses of this Agreement and any part of the Schedules, then the terms and conditions of the clauses in this Agreement will prevail to the extent of the conflict or inconsistency.

b) In this Agreement, unless the context otherwise requires:

i. words importing any gender include each other gender;

ii. the plural includes any singular and vice versa;

iii. a reference to ‘dollars’ or ‘$’ is a reference to the lawful currency of Australia;

iv. a reference to a person includes any other entity recognised by law and vice versa;

v. a reference to a statute, ordinance, code or other law includes subordinate legislation, consolidations, amendments, re-enactments, and replacements of it;

vi. a reference to any legislation or statutory instrument is construed in accordance with the relevant interpretation of that legislation or statutory instrument;
vii. a reference to a Party to this Agreement includes the executors, administrators, successors and permitted assigns of that Party;

viii. a reference to an individual or person includes a corporation, partnership, joint venture, association, governments, local government authorities and agencies;

ix. a reference to the Commission includes any duly authorised officer or agent;

x. a power, function or discretion of the Commission under this Agreement may be exercised by a person authorised by the Deputy Secretary, the Commission or any person authorised by the Deputy Secretary, either generally or in a particular case;

xi. a reference to the Commission or the Department includes any duly authorised officer or agent and its successor Government department and all references to the Commission or the Department will be read and constructed as references to the State of Victoria;

xii. a reference to a recital, clause, schedule or annexure is a reference to a recital, clause, schedule or annexure to this Agreement, each of which forms a part of this Agreement;

xiii. where a term is defined, the definition includes all grammatical forms of that term;

xiv. headings are used for references only;

xv. defined terms not otherwise defined in this Agreement have the meaning given to them in the Schedule; and

xvi. if any day for the payment of Funds under this Agreement falls on a Saturday, Sunday or a day on which trading banks (as defined in the Banking Act 1959 (Cth) are not open for business in Victoria (Non-Business Day), the payment will be due on the next day which is not a Non-Business Day.

1.3. General

a) The provisions of this Agreement do not merge or terminate on completion of the transactions contemplated in this Agreement but, to the extent that they have not been fulfilled and satisfied or are capable of having effect, remain in full force and effect;
b) No provisions in this Agreement shall in any way fetter, restrict, or prevent the exercise by the Commission of discretions, elections or options available to the Commission under legislation which is applicable to the Commission;

c) Any waiver of a right or obligation of this Agreement must be in writing signed on behalf of the party waiving the right or obligation and is effective only to the extent specifically set out in that waiver;

d) Each Party:

i. is not an agent, partner, joint venturer or representative of the other Party, and must not hold itself out as such; and

ii. must not make any promise, warranty or representation or execute any contract or otherwise deal on behalf of the other Party;

e) Where this Agreement permits a Party to take an action, form an opinion or exercise discretion, the action may be taken, opinion formed or discretion exercised by a person or entity authorised by that Party either generally or in a particular case;

f) This Agreement may only be varied by the Commission or with the Commission's written approval. The Commission will not unreasonably withhold consent to a request by the Board/Council to vary the Agreement;

g) Subject to Clause 5, the Board/Council must not assign, transfer, novate or otherwise deal with this Agreement or any right under it without the prior written consent of the Commission (not to be unreasonably withheld);

h) This Agreement is governed by the law of Victoria.

2. TERM OF THIS AGREEMENT

2.1. The term of this Agreement will begin on the Commencement Date and continues:

a) in the case of each Eligible Individual to whom the Training Services are being provided by the Board/Council under Schedule 2 of this Agreement and in respect of whom the Funds are provided by the Commission, until the relevant Eligible Individual has completed or withdrawn from the relevant training course or qualification in which they are enrolled; and / or
b) in the case of Eligible Individuals to whom the Training Services are being provided by the Board/Council under Schedules 3 and 4 of this Agreement and in respect of whom the Funds are provided by the Commission, until 31 December 2011,

(the relevant Term).

2.2. The arrangements between the Commission and the Board/Council contemplated by this Agreement (Funding Arrangements) may be extended in respect of the 2012 calendar year by the Commission in its absolute discretion.

2.3. The Board/Council acknowledges that any extension of the Funding Arrangements in respect of the 2012 calendar year will be subject to the Board/Council accepting an offer from the Commission to be bound by the terms and conditions of a new agreement.

3. GENERAL OBLIGATIONS OF BOARD/COUNCIL

3.1. During the Term, the Board/Council must, unless this Agreement otherwise provides:

a) maintain registration as a registered training organisation under the Act;

b) deliver nationally recognised training in accordance with its scope of registration and the requirements of the accredited course or endorsed national training package and consistent with purchasing guides, directions or policies issued by the Commission;

c) comply with the AQTF;

d) comply with all requirements in the Schedules to this Agreement, including in relation to determining eligibility, completing enrolments, imposition and collection of tuition fees, application of tuition fee concessions and waivers and otherwise meeting the relevant specifications and performance standards;

e) use the Funds to provide the Training Services to the relevant Eligible Individual to whom such Funds apply;

f) unless this Agreement otherwise provides, provide the Training Services to each Eligible Individual and otherwise perform its obligations under this Agreement at its own cost;
g) ensure that appropriate compliance, reporting and auditing frameworks, controls and systems are in place with respect to the provision of the Training Services to each Eligible Individual and receipt of the Funds from the Commission;

h) ensure that all persons employed or engaged by the Board/Council to provide the Training Services to each Eligible Individual are aware of all obligations under this Agreement as appropriate;

i) comply with the requirements of, and pay all fees and bear all costs connected with all applicable laws and regulations, including without limitation all relevant privacy, anti-discrimination and equal opportunity legislation, the Charter of Human Rights and Responsibilities Act 2006, the Disability Act 2006, and the Working With Children Act 2005 and, in particular, must:

i. ensure all persons employed or engaged by the Board/Council to provide the Training Services are made aware of the requirements of the Working With Children Act 2005 as it relates to persons under 18 years of age and its application;

ii. ensure that persons associated with the delivery of Training Services to persons under 18 years of age undertake a Working With Children check, where applicable;

iii. obtain the consent of personnel to retain a copy of the relevant Working With Children check and provide a copy to the Commission and/or its auditors on request; and

iv. retain copies of the relevant Working With Children check for all relevant staff;

j) must not, in performing the Training Services to each Eligible Individual, do any act or undertake any process which would infringe an Intellectual Property right of, the Commission, the State or any other person or body.

4. **PROVISION OF THE TRAINING SERVICES**

4.1. During the Term, the Board/Council must provide the Training Services to each Eligible Individual:

a) in accordance with the Schedules to this Agreement and any applicable directions, policies or procedures as notified by the Commission and/or the State from time to time (including
any Executive Memoranda, which the Board/Council acknowledges and agrees will have the weight of a contractual requirement);

b) exercising due care, skill and judgment and at all times acting in accordance with applicable professional ethics, principles and standards;

c) through fit and proper persons, in a safe and proper manner and to a standard acceptable to the Commission; and

d) within the State of Victoria, and with a physical presence in Victoria. This includes online delivery except where online delivery takes place during an industry or practical placement and involves the Eligible Individual being temporarily located interstate or overseas for a defined period. No more than 50% of the total scheduled hours applying to the Training Services in which the Eligible Individual is enrolled may be delivered online during this period.

5. SUBCONTRACTING

5.1. The Board/Council may subcontract the provision of some or all of the Training Services. If the Board/Council subcontracts the provision of some or all of the Training Services, the Board/Council acknowledges and agrees that:

a) there is no contractual relationship between the Commission and any subcontractor engaged by the Board/Council;

b) any subcontract arrangement does not relieve the Board/Council of any of its liabilities or obligations under this Agreement or to otherwise provide the Training Services to an Eligible Individual;

c) the Board/Council is liable to the Commission for the acts or omissions of any sub-contractor (or any employee, officer or agent of the sub-contractor) as if they were the acts or omissions, of the Board/Council (or the employees, officers or agents of the Board/Council);

d) the Board/Council indemnifies (and must keep indemnified) the Commission against any or all Loss arising from any acts or omissions by any subcontractor in connection with, or in the course of, the provision of the Training Services to an Eligible Individual or any breach of this Agreement;

e) the Commission is not liable for the Board/Council's subcontractor arrangements and will not become involved in
the internal administration of subcontracts or act as a mediator between the Board/Council and any subcontractor;

f) prior to the commencement of any subcontracted arrangements, the Board/Council must put in place an executed contract that ensures the relevant liabilities and obligations of the Board/Council under this Agreement will be met by the subcontractor;

g) all costs associated with any subcontract are the responsibility of the Board/Council; and

h) the Board/Council must procure that any subcontractor assists, if requested by the Commission or its auditors, with any audits under Clause 10 of this Agreement.

6. PROMOTIONAL MATERIALS AND OTHER PUBLICATIONS

6.1. In any promotional publication, report, signage or other material prepared by (or on behalf of) the Board/Council relating to the Training Services, the Board/Council must:

a) acknowledge in a prominent way that the Training Services are provided to Eligible Individuals with Funds made available by the Victorian and Commonwealth Governments (for example, by stating that “This training is delivered with Victorian and Commonwealth Government funding”); and

b) ensure that such materials meet the requirements of the Equal Opportunity Act 1995 and related laws, including the provision of materials encouraging individuals with disabilities to access government subsidised training.

6.2. The Board/Council must not do or omit to do anything which may damage, ridicule, bring into disrepute or be detrimental to the Commission, the Department or the State’s name, messages or reputation.

6.3. The Board/Council must publish on its website or provide on request by any person a copy of its most recent AQTF audit report.

7. REPORTING

7.1. During the Term, the Board/Council must:

a) use an electronic Student Management System that complies with the Victorian VET Student Statistical
Collection Guidelines as issued by or on behalf of the Commission from time to time;

b) provide Student Statistical Reports to the Commission in relation to all activity, including the Training Services being provided by the Board/Council to Eligible Individuals and fee for service activity, compliant with the Victorian VET Student Statistical Collection Guidelines;

c) submit Student Statistical Reports to the Commission via the SVTS no less than once a month, on or before the last Friday of the month;

d) in the event that data previously submitted by the Board/Council as part of Student Statistical Reports to the Commission is incorrect, resubmit correct data by no later than the following month’s Student Statistical Report;

e) ensure that all data is correct in the final Student Statistical Report for 2011 and that this report is submitted to the Commission via SVTS by no later than 15 January 2012;

f) participate in the National Student Outcomes Survey, managed by the National Centre for Vocational Education and Research (NCVER) and advise students of the possibility of receiving an NCVER survey;

g) provide such other reports relating to the Agreement, the Training Services or the Funds as reasonably requested by the Commission and within a reasonable period of time from such a request; and

h) submit data to the Commission on the following three AQTF Quality Indicators (which have been endorsed by the National Quality Council) in a format to be notified by the Commission:

i. Competency completion;

ii. Learner engagement; and

iii. Employer satisfaction,

on or before 30 June 2011, with all data relating to the previous calendar year.
7.2. The Commission will not share or release to other parties any AQTF Quality Indicator data provided by the Board/Council under this Agreement on an individual RTO basis.

7.3. The Board/Council acknowledges that the Commission may publish aggregated AQTF Quality Indicator data gathered through this process and use it to inform future quality improvement initiatives that support and promote quality training provision.

8. **FUNDING AND PAYMENTS**

8.1. Subject to Clauses 8.3 and 15, during the Term the Commission agrees to pay the Funds in respect of Eligible Individuals to whom the Board/Council is providing the Training Services. The Commission will make payment of the applicable Funds to the Board/Council in accordance with procedures set out in the Schedules to this Agreement.

8.2. The Board/Council acknowledges and agrees:

a) that the Commission's payment of the Funds to the Board/Council in respect of Eligible Individuals under this Agreement is conditional upon the Commission being satisfied (and continuing to be satisfied) that:

i. the Training Services are being provided by the Board/Council to the relevant individual in accordance with this Agreement; and

ii. the Funds are being applied by the Board/Council to the provision of the Training Services to that individual;

b) that the Commission is only obliged to pay the Funds to the Board/Council in respect of Eligible Individuals:

i. until, and on condition that, Eligible Individuals complete the training course or qualification in which they are enrolled; or

ii. until Eligible Individuals withdraw from the training course or qualification in which they are enrolled;

c) that the Commission will only pay the Funds under this Agreement in respect of Eligible Individuals to the Board/Council and not to any other party;
d) to use the Funds solely for the provision of Training Services to Eligible Individuals and otherwise in accordance with this Agreement;

e) that the Funds and associated payment arrangements constitute the full extent of Funds available to the Board/Council from the Commission for provision of the Training Services in respect of Eligible Individuals; and

f) that the Board/Council is not entitled to and may not claim any additional funding or assistance from the Commission in relation to the Training Services.

8.3. Without limiting Clause 15 or any other rights of the Commission, the Commission may deduct from any payment of Funds due to the Board/Council under this Agreement in respect of Contact Hour Funds, or seek payment from the Board/Council in respect of Contact Hour Funds:

a) any amounts due to the Commission by the Board/Council under this Agreement;

b) money paid for the provision of any Training Services to Eligible Individuals that the Commission is satisfied (in its absolute discretion) have not been provided by the Board/Council in accordance with this Agreement;

c) money expended by the Commission to make good any non compliance by the Board/Council with this Agreement; and

d) money paid by the Commission to auditors, or any other authorised person, to verify the delivery, replacement or correction of any of the Training Services by the Board/Council.

8.4. The Board/Council acknowledges that, if applicable, the Funds paid by the Commission to the Board/Council in respect of Eligible Individuals under this Agreement will be increased by an amount equal to any GST payable with respect to a Taxable Supply (if any).

8.5. The Board/Council must maintain a current agreement with the Commission allowing the Commission to issue an RCTI in relation to all payments for which GST is payable.

9. ACCOUNTS AND RECORDS

9.1. During the Term, the Board/Council must:
a) ensure appropriate accounting processes and controls are exercised in connection with the Agreement, the Training Services and the Funds;

b) keep complete records and accounts as required by law and any regulatory authorities applicable to the Board/Council, which may include quotations, invoices and receipts and must indicate particulars of and payments to any subcontractors;

c) upon request by the Commission, make available to the Commission for inspection at a location in Victoria specified by the Commission during business hours, all books, documents or other records in its possession, control or power relating to the Funds or the Training Services;

d) supply any other information reasonably required by the Commission for the purposes of this Agreement, including information sufficient to allow the Commission to satisfy itself as to the financial position of the Board/Council, the use of the Funds and / or the capacity of the Board/Council to deliver the Training Services to Eligible Individuals;

e) retain, and make available to the Commission or its auditors for audit purposes, all records relating to the Training Services, including Evidence of Participation in respect of each Eligible Individual to whom the Board/Council provides the Training Services, for seven (7) years after completion of the course or qualification by Eligible Individuals;

f) comply with all laws relating to record keeping, including the Public Records Act 1973; record keeping requirements of the AQTF Standards; Information Privacy Act 2000; and the Electronic Transactions (Victoria) Act 2000; and

g) keep accounting records in relation to the Funds provided by the Commission under this Agreement in such a manner as to enable them to be audited by the Auditor General of Victoria or any other entity as directed by the Commission.

10. AUDIT OR REVIEW

10.1. The Board/Council acknowledges that, during the Term:

a) the Commission (or persons authorised by the Commission) may conduct an audit of the Board/Council at any reasonable time:
i. in order to confirm whether the Board/Council is complying with this Agreement;

ii. in order to establish whether and to what extent the Funds have been used for the provision of the Training Services to Eligible Individuals;

iii. in order to investigate allegations or suspected misuse of the Funds; and/or

iv. if applicable, as part of the Commission’s Audit and Risk Committee’s Internal Audit Plan.

b) without limiting the Commission's rights under Clause 15, the Commission may apply penalties and sanctions to the Board/Council in accordance with the Commission's audit strategy in response to any audit outcomes identifying non compliance with the Agreement or any misuse of the Funds.

10.2. During the Term, the Board/Council must:

a) permit, whenever the Training Services are being provided to Eligible Individuals and otherwise at all reasonable times, the Commission (or persons acting on behalf of the Commission) to enter its premises, and areas within those premises that are used for the Training Services, to conduct audits;

b) participate in and assist, as required, with any audits required by the Commission, including the Commonwealth Department of Education, Employment and Workplace Relations Invalid Enrolment Audit and any audits specified in the Schedules to this Agreement;

c) if possible, rectify any instances of non compliance with the Agreement which are identified by an audit through implementation of a management action plan agreed between the Board/Council and the Commission (or persons acting on behalf of the Commission), and take steps to prevent future instances of non compliance;

d) reimburse any costs incurred by the Commission in conducting audits, if directed to do so;

e) respond fully in writing to the Commission (within a timeframe specified by the Commission) on any matter requested by the Commission relating to audits;

f) conduct an internal audit of its compliance with the provisions of this Agreement during the 2011 calendar year,
using a checklist supplied by the Commission. The internal audit must be:

i. completed no later than 1 July 2011;

ii. signed off by the CEO; and

iii. provided to the Commission or its auditors on request.

g) develop an improvement plan within a reasonable timeframe to rectify any instances of non compliance with the Agreement which are identified through the internal audit specified above. The improvement plan (if required) must be made available to the Commission or its auditors on request.

11. CONFIDENTIALITY AND PRIVACY

11.1. The Board/Council must not, without the prior written approval of the Commission, disclose (or permit the disclosure) of information regarding this Agreement (including details of the Funds being provided by the Commission in respect of any Eligible Individual) or any Confidential Information of the Commission, the Department or the State, except:

a) to the extent required under this Agreement;

b) to the extent required by Law;

c) to the extent that the information is already in the public domain (other than due to a breach of this Agreement);

d) to its solicitors, barristers and / or other professional advisors in order to obtain advice in relation to its rights under this Agreement, the Training Services or the Funds and provided such advisors are under a duty of confidentiality; and

e) to the extent necessary for the registration or recording of documents where required; and / or

f) to the extent required in connection with legal proceedings; and then only to the extent strictly necessary for that purpose.

11.2. The Board/Council acknowledges and agrees that:

a) the Commission at its discretion may disclose any and all information relating to the Board/Council and this Agreement (other than personal information and information submitted on AQTF Quality Indicators by the Board/Council) including course and qualification details, funding details, details of any non compliance by the Board/Council with this
Agreement, any action taken by the Commission under this Agreement, and findings and outcomes of any audits undertaken pursuant to this Agreement;

b) disclosure by the Commission of information regarding this Agreement (including details of the Funds) or any Confidential Information of the Board/Council may be required in certain circumstances; and

c) it consents to such disclosure and, if required, will use all reasonable endeavours to assist the Commission in meeting any of its disclosure obligations including, without limitation:

i. in connection with permitted re-tendering or any benchmarking or market testing;

ii. under the *Freedom of Information Act 1982*;

iii. under the *Ombudsman Act 1973*; or

iv. to satisfy the disclosure requirements of the Victorian Auditor General under the *Audit Act 1994* or of Parliamentary accountability or in the case of a Minister to fulfil their duties of office.

11.3. The Board/Council agrees to comply with the *Information Privacy Act 2000* and any other applicable privacy legislation and acknowledges that it will be bound by such legislation with respect to any act done, or practice engaged in, by the Board/Council for the purposes of this Agreement in the same way and to the same extent as the Commission would be bound by the legislation.

11.4. This Clause 11 survives the expiry or termination of this Agreement.

12. LIABILITY, INDEMNITY AND INSURANCE

12.1. The Board/Council acknowledges that:

a) the State, its servants and agents are not responsible at any time for any liabilities incurred or entered into by the Board/Council as a result of, or arising from, the Board/Council 's rights and obligations under this Agreement or in relation to provision of the Training Services to Eligible Individuals; and

b) it is the Board/Council's responsibility to carry out its obligations under this Agreement, receive Funds and to
provide the Training Services to Eligible Individuals at its own risk.

12.2. The Board/Council indemnifies (and must keep indemnified) the Commission, the State and its employees, servants and agents (referred to in this Clause as 'those indemnified') against all Losses sustained or incurred by those indemnified and arising out of or relating to any death or injury to a person, damage to property or other loss caused (or to the extent contributed) by any act or omission of the Board/Council, its employees, servants, subcontractors or agents in connection with this Agreement or the Training Services.

12.3. The Board/Council's liability to indemnify those indemnified under Clause 12.2 will be reduced proportionally to the extent that any negligent, reckless, wilful or unlawful act or omission on the part of those indemnified directly caused the relevant Loss.

12.4. The Board/Council must, at its own expense:

a) take out and maintain until 7 years after the end of the Term all appropriate insurances at an adequate level to cover the provision of the Training Services to Eligible Individuals and any risk, loss or damage arising out of or caused by the performance of those Training Services, including insurance for workers compensation, public liability, professional liability, professional indemnity and property;

b) ensure that the insurances are taken out and maintained with reputable insurers;

c) comply with all relevant insurance requirements, including conducting and improving relevant risk management practices and incident notification processes;

d) provide copies of insurance certificates of currency, including details of limits on cover, to the Commission upon request; and

e) promptly notify the Commission if any insurance is cancelled or any insurance details change.

12.5. This Clause 12 continues despite any expiry or termination of the Agreement.

13. NOTICES AND REPRESENTATIVES

13.1. A notice issued under this Agreement by a Party (Notice) must:
a) be in writing;

b) signed by or on behalf of the Party giving it; and

c) be hand delivered or sent by pre paid post (airmail if posted to or from a place outside Australia) to the recipient’s address for notices specified on offer and acceptance documentation relating to this Agreement (as varied by any notice given by the recipient to the sender), sent by facsimile or electronic mail to the facsimile number or electronic email address of the recipient.

13.2. A Notice takes effect from the time it is received, unless a later time is specified in it. A Notice will be deemed to have been received by the addressee:

a) in the case of hand delivery, at the time of delivery;

b) in the case of pre paid post, on the second Business Day (or seventh Business Day if posted to or from a place outside Australia) after posting;

c) in the case of facsimile, on production of a transmission report by the machine from which the facsimile was sent which indicates that the facsimile was sent in its entirety to the recipient’s facsimile number, with the exception of where the facsimile is received on a non business day, or after 4.00pm on a Business Day, in which case the communication will be deemed to be received on the next Business Day; and

d) in the case of electronic mail, if the message is correctly addressed and successfully transmitted to that party’s electronic mail address, at the time the sender’s computer records that the transmission was successful.

13.3. The authorised representative of each Party who will be responsible for issuing and receiving Notices under this Agreement are as follows:

a) the Commission’s representative is the Executive Director or the Deputy Secretary, Skills Victoria; and

b) the Board/Council’s representative is the CEO.

14. DISPUTE RESOLUTION

14.1. The Parties must use their best endeavours to resolve any disputes or differences prior to employing the dispute resolution procedure provided for in this Clause.
14.2. A Party claiming that a dispute or disagreement has arisen under this Agreement must give a notice to the other Party specifying the nature of the dispute. A dispute notice may be withdrawn at any time by the Party that gave the notice.

14.3. Within 10 Business Days of the date of issue of the dispute notice, the Parties must enter into good faith discussions in an attempt to resolve the issues between them.

14.4. If the Parties have not resolved the dispute within 20 Business Days of the date of issue of the Dispute Notice, either Party may refer the dispute to the Minister or the Minister’s nominee.

14.5. The Parties acknowledge and agree that the Minister or the Minister’s nominee may:

   a) provide written directions to the Parties as to the resolution of the dispute; or

   b) make such other decisions as the Minister or the Minister’s nominee considers appropriate; and

14.6. Any decision of the Minister or the Minister’s nominee that constitutes a written direction by the Minister or the Minister’s nominee to a Party to a dispute will be taken to be conclusive and binding on that Party.

14.7. The Parties must continue to perform their respective obligations under this Agreement during a dispute.

15. **RIGHT TO WITHHOLD FUNDS, REQUIRE REFUND OR TERMINATE AGREEMENT**

15.1. Where the Commission is of the reasonable opinion that:

   a) the Board/Council has failed to comply with some or all of its material obligations pursuant to this Agreement and, where the non-compliance is capable of remedy by the Board/Council, the Board/Council has failed to remedy the non-compliance within such reasonable period as specified by the Commission in writing;

   b) the Board/Council has not provided some or all of the Training Services for which Funds have been paid or claimed under the Agreement in respect of Eligible Individuals, or has not provided those Training Services to a standard satisfactory to the Commission;

   c) there has been any fraud or reasonably suspected fraud relating to the Board/Council or the Funds, misappropriation
of Funds by the Board/Council or there has otherwise been any misleading or deceptive conduct on the part of the Board/Council in connection with this Agreement or the provision or use of the Funds;

d) the registration of the Board/Council under the Act is suspended or cancelled;

e) the Institute is abolished under section 3.1.11(1)(b) of the Act;

f) the Board/Council is abolished under section 3.1.12(1)(b) of the Act; or

g) an administrator is appointed under section 3.1.21 of the Act, then the Commission may in its absolute discretion do one or more of the following:

h) withhold, suspend, cancel or terminate any payment of the Funds (in whole or part) under this Agreement for such period the Commission reasonably deems appropriate (including until such time as any non compliance by the Board/Council with its obligations under the Agreement is addressed to the satisfaction of the Commission);

i) require the Board/Council to refund such amount of the Funds previously paid as the Commission reasonably deems appropriate (together with interest calculated daily at the rate prescribed under Penalty Interest Rates Act 1983 (Vic) at the date of this Agreement until the amount is refunded by the Board/Council);

j) set off money which the Commission is satisfied is due to it by reason of the Board/Council’s non compliance with this Agreement from Funds payable to the Board/Council under this Agreement;

k) suspend the performance of the Training Services to some or all Eligible Individuals (or any part of the Training Services) for such period the Commission reasonably deems appropriate;

l) arrange for another RTO to provide some Training Services to some or all Eligible Individuals (or any part of the Training Services) for such period the Commission reasonably deems appropriate; and / or

m) immediately terminate this Agreement by giving written notice to the Board/Council.
15.2. This Agreement may be terminated at any time by written agreement between the Parties.

15.3. The Board/Council must promptly notify the Commission if an administrator is appointed under section 3.1.21 of the Act.

15.4. In the event of a termination under this Clause 15, the Board/Council remains liable to the Commission under this Agreement in respect of Training Services provided prior to and including the date of termination.

15.5. Nothing in this Agreement (including this Clause) affects any rights or remedies otherwise available to the Commission at law.

16. EXECUTION OF 2011 TAFE PERFORMANCE AGREEMENT

Signed under delegation from the Commission on the __day of ______2010.

Commission Delegate Signature: _____________________________

Commission Delegate Name: _________________________________

Commission Delegate Title: _________________________________

Witness Signature: _______________________________________

Witness Name: ___________________________________________

Signed under delegation from the Board/Council on the __day of _____2010.

Board/Council Delegate Signature: ___________________________

Board/Council Delegate Name: _______________________________

Board/Council Delegate Title: _______________________________

Witness Signature: _______________________________________

Witness Name: ___________________________________________
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## 2011 TAFE Performance Agreement
### Training Services Delivery

#### Schedule 1

**Minimum Performance Standards for Training Services**

<table>
<thead>
<tr>
<th>VERSION</th>
<th>DATE</th>
<th>COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2011 Version 1.0</td>
<td>October 2010</td>
<td>Draft Agreement</td>
</tr>
<tr>
<td>2011 Version 1.1</td>
<td>December 2010</td>
<td>Final Agreement</td>
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PURPOSE

A. This Schedule describes the minimum performance standards for the Training Services funded through the 2011 Service Agreement (the Agreement) and must be read in conjunction with the Agreement.

B. The Schedule is divided into three parts:
   i. Part A: General Requirements for all Training;
   ii. Part B: Specific Requirements relating to Apprenticeship / Traineeship Training;
   iii. Part C: Data Systems and Resources.

PART A: GENERAL REQUIREMENTS FOR ALL TRAINING

1. Application and Enrolment requirements

1.1. The Board/Council must complete enrolments for all Eligible Individuals in accordance with the:
   a. Victorian VET Student Statistical Collection Guidelines; and
   b. AQTF Essential Conditions and Standards for Continuing Registration.

1.2. The Board/Council must ensure that all mandatory standard enrolment questions as described in the Victorian VET Student Statistical Collection Guidelines are applied during the enrolment process of all Eligible Individuals.

1.3. For each Eligible Individual, the Board/Council must conduct a Pre-Training Review of current competencies including literacy and numeracy skills to:
   a. identify any competencies previously acquired (Recognition of Current Competency (RCC) or Credit Transfer); and
   b. ascertain that the proposed learning strategies and materials are appropriate for that individual.

1.4. Recognition of Prior Learning must be offered to all Eligible Individuals upon enrolment and prior to delivery of Structured Training. The Board/Council must be able to demonstrate that they have processes to encourage the uptake of, and to streamline, RPL assessments.
2. Documentation of Agreed Program Delivery

2.1. The Board/Council must document information on training and assessment to be provided for all Eligible Individuals in respect of which Funds are paid by the Commission under this Agreement, either as a group of Eligible Individuals or a single Eligible Individual.

2.2. The information should include, at least:
   a. name and contact details of the Institute;
   b. title of qualification;
   c. competencies/modules to be obtained;
   d. scheduled hours for competencies to be obtained;
   e. timeframe for achieving competencies;
   f. delivery modes to be used;
   g. training to be undertaken;
   h. assessment details and arrangements;
   i. party/ies responsible of the delivery and/or assessment of each competence; and
   j. record of RPL and Credit Transfer hours granted.

2.3. The information must be consistent with the qualifications or competencies to be attained and customised (as required), for the needs of the group of Eligible Individuals or Eligible Individual, including the needs identified in the Pre-Training Review.

2.4. The information on training and assessment must be agreed and signed by the Board/Council and the group of Eligible Individuals or Eligible Individual. A copy of the signed information must be provided to each Eligible Individual. This information ensures that both the Board/Council and the group of Eligible Individuals or the Eligible Individual are making informed decisions about the Training Services required and the respective obligations in the delivery of these Training Services. This information may be in the form of a Training Plan.

2.5. The Board/Council must record the scheduled hours specified within the Training Plan or equivalent document in their Student Management System (SMS) and upload to the Skills Victoria Training System (SVTS).

3. Evidence of Participation

3.1. All training delivery by the Board/Council to an Eligible Individual must be supported by Evidence of Participation for each unit of competency/module in accordance with the following specifications
(except as outlined in Schedules 3 and 4 to this Agreement) such that continuity of an Eligible Individual’s engagement in Training Services activity can be confirmed:

a. One point of Evidence of Participation per unit of competency/module must be provided if the elapsed time for delivery of that unit of competency/module is one month or less; or

b. Two points of Evidence of Participation per unit of competency/module must be provided if the elapsed time for delivery of that unit of competency is greater than one month.

3.2. Evidence sought in this regard needs to be authenticated by documented evidence from the Board/Council of engagement by the student in the learning activity. To be valid, evidence provided must contain the student’s name or identification number, a module or unit of competency identifier and a date.

3.3. The only Evidence of Participation that will be accepted for this purpose is as follows:

a. Evidence of work submitted relating to engagement by the student in the unit of competency or module. In cases where this information cannot be recorded on the work itself, separate evidence must accompany the work to allow it to be linked to the student, the unit of competency/module and date completed, for example identification of a student trademark and a delivery schedule or equivalent detailing how the piece of work covers the module or unit of competency in question, including due dates and milestones.

OR

b. Instructor notes based on personal interviews, telephone, email, or other communication modes on the engagement of a student in learning activity of the unit of competency or module.

OR

c. A provider endorsed attendance roll:

In order to be considered acceptable, the format of the roll should be one that is recognised by the training organisation as a tool to record attendance as a part of their normal processes. This is deemed adequate to satisfy the minimum participation criterion in the unit of competency or module enrolment. This will be sufficient provided it can be shown that the actual unit of competency or module was delivered at the point at which the client is marked on the roll. Where the roll indicates that the individual has only attended the first class supporting documentation must be supplied.
demonstrating that there was engagement in the learning activities of the module / unit of competency during that class. Attendance at an induction or orientation class alone is not sufficient Evidence of Participation.

For clustered delivery:

i. where, for the purposes of delivery or assessment, units of competency or modules are clustered together the evidence provided must satisfy participation at the unit of competency and module level requested;

ii. where the modules are delivered consecutively (or "lock-step"), a notation on a roll or student management sheet that indicates which training was actually delivered in a session at the unit of competency or module level will be sufficient;

iii. for other types of clustered delivery, a delivery schedule or equivalent must be provided that shows the planned training, at the unit of competency or module level; on the date/s the individual was in attendance.

OR

d. Primary documentation that provides evidence of assessment:

Primary documentation is considered to be either a secure paper based or electronic record that indicates an actual result consistent with assessment for a pass outcome, a non zero mark or grade for a component of the unit of competency or module or the final result, or an assessment record that supports the individual’s activity in the unit of competency or module. For all other outcomes additional Evidence of Participation will be required. For RPL outcomes only the primary assessment tool used for any RPL assessments will be accepted.

Evidence of an individual’s results should have an endorsement at the delivery level that confirms the accuracy of the client information.

OR

e. Where primary recording documentation is not available a signed statement from the relevant provider staff affirming an individual’s participation:

In the event that extreme circumstances prevent the provision of any of the primary recording documentation as detailed above (e.g. fire, flood or other equivalent circumstances), staff directly associated with the training delivery that are authorised by the Board/Council may be prepared to attest participation of the
individual in the unit of competency or module enrolment in question. In all such cases the staff member is required to submit and sign a statement affirming their evidence.

To result in a verifiable enrolment an auditor would be required to record two elements, certified by an appropriate staff member:

i. a full explanation of the reasons why primary recording documentation is not available; and

ii. a signed and dated statement containing a full explanation of the evidence being provided and affirmed. The auditor would determine if the evidence provided is sufficient to substantiate the claim that the individual participated and thereby verify the enrolment. It should be noted that a signed document merely stating that participation within the enrolment has occurred would not meet verification requirements.

OR

f. Login and engagement with learning activity required for the unit of competency or module:

Where an individual has a secure login to specific learning activity (for the unit of competency or module) in which they are enrolled, the login record demonstrating on-line engagement with the learning activity will constitute evidence of engagement.

OR

g. In flexible and distance modes of learning, records of staff/student engagement with learning activity at a unit of competency or module level that indicates the individual has commenced working on the learning materials received.

3.4. Evidence of Participation for each Eligible Individual being provided with Training Services by the Board/Council and in respect of which Funds are payable by the Commission must be collected and retained by the Board/Council for audit purposes.

3.5. In undertaking an audit of Evidence of Participation in respect of Eligible Individuals, an auditor will attempt to utilise appropriate recording models adopted by the Board/Council to assure Evidence of Participation relating to the unit of competency or module.

3.6. General Requirements associated with verification of participation

a. Where a final assessment in the next collection year is supplied to justify participation in a module or unit of competency for a
continuing enrolment in the current collection year, an auditor will verify each enrolment for participation on its merits.

b. An auditor will not accept Board/Council based Certificates in isolation to satisfy Evidence of Participation at the unit of competency or module level.

c. Statements from Eligible Individuals declaring they participated in the module/unit of competency will only be accepted if evidence of extreme and exceptional circumstances such as fire, flood or theft, outlined in 3.3e, is provided.

d. Verification of enrolments will be disallowed where documents such as ‘catch all’ sets of questions are provided as the only Evidence of Participation in respect of an Eligible Individual for a module or unit of competency. These sets of questions are typically completed on the first day of student attendance, covering all modules or units of competency within the cluster and the nature of the questions are very simple with limited educational use.

3.7. **Clarification Regarding Participation in VCE Units 3 & 4**

There are particular requirements for Evidence of Participation in respect of Eligible Individuals relating to VCE Units 3 & 4:

a. the criteria of Evidence of Participation apply to VCE Units 3 & 4 as they do to all other units or modules;

b. VCE Units 3 & 4 should be reported on the *Australian Vocational Educational and Training Management Information Statistical Standard* (AVETMISS) as individual units of study, (e.g. “Unit 3 Economics” Code ECO33 and “Unit 4 Economics” Code ECO34). Where an individual withdraws after commencing Unit 3, the provider will also need to withdraw the individual from Unit 4, as both units must be delivered sequentially. In this instance the individual would be considered to have participated only in Unit 3, but not Unit 4 as there was no attendance or participation;

c. where withdrawal is prior to May 1st, the individual will be automatically withdrawn from both Units 3 and 4;

d. where an individual ceases to participate after May 1st they must be recorded as a Code 30 Assessable Enrolment – Competency Not Achieved / Fail for Unit 3. They must also be separately withdrawn from Unit 4; and

e. where an individual completes Unit 3, they may be withdrawn from Unit 4, up to July 10, after which time they must be recorded as a Code 30 Assessable Enrolment – Competency Not Achieved / Fail.
4. Practical Placements

4.1. In accordance to section 5.4.15 of the Act, practical placements must be conducted in accordance with the Guidelines for Registered Training Organisations and Employers in relation to students of technical and further education undertaking Practical Placement (the Practical Placement Guidelines) available at: http://www.skills.vic.gov.au/corporate/providers/training-organisations

5. Nursing courses / qualifications

5.1. If the Training Services to be provided by the Board/Council to Eligible Individuals includes the delivery of:

a. the Certificate IV in Nursing (Enrolled/Division 2 Nursing); and/or

b. the Diploma of Nursing (Enrolled/Division 2 Nursing); and/or

c. any other course/units of competency that are regulated by the Nursing and Midwifery Board of Australia (NMBA) or accredited by the Australian Nursing and Midwifery Council Limited (ANMC);

then the provision of Training Services by the Board/Council must be in accordance with the guidelines and regulations of the NMBA and the ANMC, and delivery (including method, mode and location/facilities) must be approved by the NMBA and ANMC as appropriate.

6. Completions

6.1. The Board/Council must issue recognised qualifications or Statements of Attainment to the requirements of the relevant accredited courses, endorsed national Training Packages, as well as any other guidelines or regulations. Refer Part B for particular requirements relating to completions of Apprentices/Trainees.
PART B: SPECIFIC REQUIREMENTS RELATING TO APPRENTICESHIP / TRAINEESHIP TRAINING

7. Apprentice / Trainee eligibility requirements

7.1. The Board/Council is to deliver training to eligible Apprentices / Trainees who are Eligible Individuals in accordance with the schemes deemed by the Commission to be Approved Training Schemes under the Act.

7.2. In addition to individual eligibility requirements detailed in Schedules 2, 3 and 4 of the Agreement, to be eligible for government subsidised training under the Agreement as an Apprentice / Trainee and thereby be an Eligible Individual for the purposes of this Agreement, an individual must be:

a. employed in Victoria in either a full time or part time capacity under an award or registered agreement;

b. undertaking a Training Scheme approved by the Commission;

c. a signatory to a Training Contract with their employer which is registered with the Commission;

d. a signatory, jointly with the employer and the Board/Council, to a Training Plan; and

e. involved in paid work and Structured Training, either workplace based or off-the job.

7.3. Whether an individual is an Apprentice or a Trainee depends on how the qualification they are undertaking is designated in the relevant Approved Training Scheme listed in the industry guides at: http://www.skills.vic.gov.au/corporate/publications/brochures-and-fact-sheets/apprenticeships-and-traineeships-in-victoria-industry-guides

7.4. The Board/Council must enter and update information on the SVTS regarding the Approved Training Schemes that the Board/Council intends to deliver. Information to be updated includes the name of the course/ qualification, delivery location(s) and contact details.

8. Training Plan Requirements for Apprentices / Trainees

8.1. Employers are required to arrange for their Apprentices/Trainees to be enrolled with the Board/Council and for a Training Plan to be signed within three months of the date of commencement of the Training
Contract. This period also has an impact on the payment of government incentives. The Board/Council’s enrolment timelines should not impede the employer’s ability to comply with this requirement.

8.2. Subject to any nominal duration that may be specified in an Approved Training Scheme, the employer and Apprentice / Trainee (but not the Board/Council) can specify a duration less than the maximum over which competencies will be attained. Completion will be available earlier than the specified duration in the Training Contract if all competencies have been attained, and an extension to the maximum duration can be mutually agreed if all required competencies have not been attained.

8.3. Training models offered by Board/Councils should ensure compliance with the Commission’s Part Time policy (refer to Clause 13).

8.4. A Training Plan must be developed by the Board/Council, together with the employer, and Apprentice / Trainee and should be consistent with the qualification to be obtained and customised (as required) consistent with the outcomes of the Pre-Training Review. Training Plans may be developed and signed prior to training commencement but no later than four weeks after training commencement.

8.5. The Training Plan must be vocationally relevant and reflect industry requirements and the workplace setting. It must list all the training (both the Structured Training provided by the Board/Council and the practical experience in the occupation provided by the employer) that will be delivered during the Apprenticeship / Traineeship. A copy of the Training Plan signed by all parties must be provided to all parties (including the school if a School-based Apprentice / Trainee).

8.6. A Training Plan must include all of the following and be straightforward, easy to follow and written in plain English:
   a. name and contact details of the Institute and employer;
   b. title of qualification;
   c. competencies/modules to be obtained;
   d. scheduled hours for competencies to be obtained;
   e. timeframe for achieving competencies;
   f. delivery modes to be used;
   g. training to be undertaken;
   h. assessment details and arrangements;
   i. party/ies responsible of the delivery and/or assessment of each competence;
j. details (when, how & how much) of the time allocated outside routine work duties for Structured Training;

k. record of RPL and Credit Transfer hours granted;

l. signature (including date of signature) of the Board/Council representative, employer, Apprentice / Trainee (School-based Apprentices / Trainees also require the signature of the school’s representative); and

m. any other specific requirements to be met in accordance with the Training Contract or the Approved Training Scheme.

8.7. The Board/Council must update the Training Plan according to any changes mutually agreed with the parties to the Training Contract. The changes must be endorsed by the employer and Apprentice /Trainee.


9. General Administrative Practices

9.1. The Board/Council must immediately notify the relevant Australian Apprenticeships Centre (AAC) if the qualification on DELTA is not appropriate or relevant to the Apprentice’s / Trainee’s work duties, and request that the employer and Apprentice / Trainee vary the Training Contract.

9.2. The Board/Council must notify the Manager of Apprenticeship Administration at Skills Victoria on telephone 03 9637 3555 within 24 hours of being notified of the death of an Apprentice / Trainee.

9.3. The Board/Council must notify the relevant organisation as listed below within two weeks of:

   a. an Apprentice’s / Trainee’s non-enrolment – notify AAC (if not accepting enrolment, set the DELTA record to “POL unknown” and notify AAC);

   b. a situation, including absences, which has the potential to affect completion of the Training Contract (after making an effort to resolve the matter via contact with the employer and Apprentice) – notify an Apprenticeship Field Officer;

   c. withdrawal/non-start of enrolled Apprentice / Trainee - notify AAC;
d. the requirements being met for issuing of a qualification to any Trainee, or an Apprentice who commenced training on or after the relevant dates shown in Clause 11.3 – notify Skills Victoria (update DELTA by marking “Successful completion of off-the-job training/POL complete”, change the status to “Complete” and enter the actual completion date);

e. the requirements being met for issuing of a qualification to an Apprentice who commenced training before the relevant dates in Clause 11.3 – notify Skills Victoria (update DELTA to mark “Successful completion of off-the-job training/POL Complete”);

f. an Apprentice’s / Trainee’s change of address – notify Skills Victoria by updating DELTA;

g. an Apprentice’s / Trainee’s change of employer – notify AAC.

9.4. The Board/Council must sign claim forms for Commonwealth Department of Education, Employment and Workplace Relations (DEEWR) Employer Incentives for Apprentices / Trainees when training delivery commences and as appropriate for progression and completion claims.

10. Delivery Practices

10.1. The Board/Council must not commence Structured Training before:

a. the commencement date of the Training Contract;

b. creation of the DELTA record; and

c. the trainer has contacted the workplace supervisor of the Apprentice / Trainee to:

i. outline the supervisor’s responsibilities;

ii. outline the purpose of further contacts; and

iii. outline the key features of the Training Plan.

10.2. The Board/Council must:

a. provide training in the Approved Training Scheme nominated on the Training Contract to full time or part time Apprentices / Trainees;

b. make all reasonable provisions for the Apprentice / Trainee to achieve all competencies required for the Structured Training identified in the Approved Training Scheme within the nominal duration of the Training Contract;
c. advise the Apprentice / Trainee and their employer, that an application for an extension to the term of the Training Contract must be made if they are unable to complete the Structured Training prior to the nominal completion date of the Training Contract (this application should be lodged by the employer via the relevant AAC); and

d. for Apprentices, commencing training on or after the dates shown in Clause 11.3, make a minimum of four contacts per year with the employer in person, in writing or electronically to discuss the Apprentice’s progress against the Training Plan and obtain employer confirmation in writing (including e-mail) of the Apprentice’s competence in the workplace.

(Timelines for employer confirmation should be agreed with an employer. Assessments of competence should be grouped around completion of clusters of competencies rather than for completion of any individual competency. If the employer is a Group Training Organisation (GTO), sign-off must be from the GTO, not the host employer).

10.3. The Board/Council may deliver Structured Training within one or a combination of both of the following modes:

a. Off-the-job Structured Training refers to training that is conducted by the Board/Council’s training staff at the Board/Council’s training facility. It is often referred to as trade school, block release or on-campus training; and/or

b. Workplace based Structured Training refers to training that is conducted by the Board/Council’s training staff at the Apprentice’s / Trainee’s workplace. The Apprentice / Trainee must be withdrawn from regular work duties in order to participate in the training.

11. Completion requirements for Apprentices/ Trainees

11.1. For all Trainees, and for all Apprentices who commenced training on or after the relevant dates shown in Clause 11.3, the Board/Council must issue the qualification only when:

a. all competencies of the Structured Training have been achieved;

b. the employer has returned a written confirmation of the Apprentice’s / Trainee’s competence as an employee in the workplace (if the employer is a GTO, final confirmation must be from the GTO, not the host employer); and

c. the Board/Council has given clear advice to the employer that final confirmation completes the Training Contract.
11.2. For all Apprentices who commenced training before the relevant dates in Clause 11.3, the Board/Council must issue the qualification when all competencies of the structured training have been achieved.

11.3. Requirements for Competency Based Completions apply to Apprentices whose training commenced on or after the dates specified below:

<table>
<thead>
<tr>
<th>Industry</th>
<th>Date</th>
</tr>
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<tbody>
<tr>
<td>All <em>Engineering</em> industry Apprenticeships</td>
<td>1 January 2008</td>
</tr>
<tr>
<td>Apprenticeships in all other industries except those listed below</td>
<td>1 July 2010</td>
</tr>
<tr>
<td>All <em>Automotive</em> industry Apprenticeships</td>
<td>1 January 2011</td>
</tr>
<tr>
<td><em>Electrotechnology</em> industry: only applies to the Certificate III in Electrotechnology Electrician (UEE30807) and the Certificate III in Refrigeration and Air-Conditioning (UEE31307) apprenticeships</td>
<td>1 January 2011</td>
</tr>
<tr>
<td><em>Plumbing</em> industry: only applies to the Certificate III in Plumbing (CPC32408) apprenticeship</td>
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</tr>
<tr>
<td><em>Building</em> industry: only applies to the Certificate III in Carpentry (CPC30208), Certificate III in Joinery (CPC31908) and Certificate III in Carpentry and Joinery (CPC32008)</td>
<td>1 January 2011</td>
</tr>
<tr>
<td><em>Retail</em> industry: only applies to the Certificate III in Floristry (WRF30104) apprenticeship.</td>
<td>1 January 2011</td>
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11.4. The Board/Council must ensure that qualifications issued following the completion of an Approved Training Scheme contain on the certificate below the qualification title, the words "obtained under an approved Victorian Government Apprenticeship or Traineeship training scheme”.

12. Workplace Based Training Minimum Compliance Standards

12.1. For every Apprentice / Trainee who is undertaking some workplace based training, in addition to the requirements in Clause 10, the Board/Council is required to:

a. conduct a workplace induction with the Apprentice / Trainee and supervisor and sign off the Training Plan with the supervisor/employer;

b. depending on the proportion of training and assessment in the workplace, conduct a minimum number of additional visits as follows:
i. 1 visit if 25 per cent or less of the SCHs of the qualification;

ii. 2 visits for 25 - 50 per cent of the SCHs of the qualification;

iii. 3 visits for 50 - 75 per cent of the SCHs of the qualification; and

iv. 4 visits for more than 75 per cent of the SCHs of the qualification;

c. obtain verification of the face to face visits by acquiring the dated signature of the employer/workplace supervisor and Apprentice / Trainee;

d. during the course of the visit:

i. meet with the Apprentice / Trainee external from their direct work environment to discuss progress in relation to the Training Plan;

ii. deliver training and/or assessment in accordance with the Training Plan; and

iii. document the training and/or assessment provided in reference to the competencies;

e. document the withdrawal time from routine work duties for Structured Training activities which are as follows:

i. at Certificates III and above, a minimum of three hours per week, averaged over a four week cycle (pro rata for part time Trainees and only for the duration of competencies delivered and assessed in the workplace if the training program combines Off-the-job Structured Training and Workplace based Structured Training);

ii. at Certificates I and II a minimum of one and half hours per week, averaged over a eight week cycle (pro rata for part time Trainees and only for the duration of competencies delivered and assessed in the workplace if the training program combines Off-the-job Structured Training and Workplace based Structured Training); and

iii. a maximum of 40 hours of the annual withdrawal time may be delivered as block training within the first 3 months of training for all certificates. This training should focus on compliance and regulatory units and generic skills.

f. where an employer/supervisor is not allowing the Apprentice / Trainee to be withdrawn from routine work duties for the applicable minimum specified time, report the non-compliance to the Manager.
of Apprenticeships Administration at Skills Victoria within 14 days if initial consultation with the employer/supervisor does not resolve the issue.

g make monthly contact by either e-mail, fax or phone with both the Apprentice / Trainee and the workplace supervisor to:

i. monitor the progress of training against the Training Plan;

ii. monitor and document the training/learning activities undertaken during the withdrawal time for the previous month; and

iii. record the dates and time periods logged as withdrawal time in the previous month.

13. **Part Time Apprenticeships / Traineeships**

13.1. All Approved Training Schemes are available on a part time basis subject to there being a suitable industrial arrangement in place (which do not currently exist for most Apprenticeships).

13.2. The onus is on the employer to establish that this is the case and to certify to such on the Training Contract.

13.3. The Board/Council should not develop or market programs that impede the employer’s ability to comply with this requirement.

14. **School-Based Apprenticeships / Traineeships**

14.1. All Apprenticeships / Traineeships can also be undertaken as school based programs, subject to the availability of suitable industrial arrangements. Arrangements are in place by the Victorian Curriculum and Assessment Authority (VCAA) to support any Apprentice / Trainee obtaining credit into their VCE or VCAL program.

14.2. In the event that the Apprentice / Trainee is undertaking the training as part of a School Based Apprenticeship / Traineeship (SBAT), the Board/Council must ensure that:

a. it makes contact with the secondary school to initiate completion of a Training Plan;

b. the Training Plan is also signed by an authorised representative of the SBAT’s school within two months of the signing of the Training Contract;
c. a copy of the completed (signed) Training Plan is provided to the relevant AAC;

d. in consultation with the SBAT’s school, report SBAT results in a timely manner for the school to enter results on the Victorian Assessment Software System (VASS). Results must be supplied to schools at least one week prior to the cut off date as schools require one week to allow for data entry. Check the exact date at www.vcaa.vic.edu.au and search for the VCE and VCAL Administrative Handbook;

e. schools are notified when there are amendments to the Training Plan (this allows SBATs to receive full credit in their VCE or VCAL);

f. there is compliance with specific requirements as identified at Clause 13 *Part time Apprenticeships / Traineeships.*

15. **Competency Based Wage Progressions**

15.1. For engineering Apprentices whose training commenced on or after 1 January 2008, and for automotive Apprentices and automotive Trainees whose training commenced on or after 1 January 2011, the Board/Council must notify the employer immediately, in writing, when the Apprentice / Trainee attains any point of progression linked to a competency based wage system.

16. **Unemployment of Apprentices / Trainees**

16.1. In instances of Apprentice / Trainee unemployment:

a. the Board/Council must continue the Structured Training, under a relevant Apprentice / Trainee funding source code as outlined in the *Victorian VET Student Statistical Collection Guidelines*, of an Apprentice / Trainee who becomes unemployed before completing the qualification named on the Training Contract, for the following periods of time to provide reasonable opportunity of re-employment; and/or

b. an Apprentice may continue to receive training toward the qualification named on the Training Contract, subject to tuition fees being paid until completion of the qualification; and/or

c. a Trainee may continue to receive training towards the qualification named on the Training Contract for three months, or to the end of the enrolment period for which the Trainee’s tuition fees have been paid, whichever is the greater.
16.2. If an Apprentice / Trainee successfully completes the Structured Training while continuing to be unemployed the qualification can be issued but the words "obtained under an approved Victorian Government Apprenticeship (or Traineeship) training scheme" should be omitted from the certificate OR at the Apprentice’s request, withhold the certificate to allow for re-employment and completion of the Training Contract.
PART C: DATA SYSTEMS AND RESOURCES

17. Data Systems

17.1. The Board/Council is required to access and/or submit data to a number of data systems managed by Skills Victoria. The table below provides a summary of relevant data systems and login addresses.

<table>
<thead>
<tr>
<th>System Name</th>
<th>Purpose</th>
<th>Login Address</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Enquires</td>
<td><a href="https://secure.otte.vic.gov.au/SVTS/">https://secure.otte.vic.gov.au/SVTS/</a></td>
<td>The Board/Council should complete and submit all enquiries to Skills Victoria. Please allow up to at least three working days for a response.</td>
</tr>
<tr>
<td></td>
<td>Advise the Commission of Approved Training Schemes the Board/Council is delivering or intends to deliver</td>
<td><a href="https://secure.otte.vic.gov.au/SVTS/">https://secure.otte.vic.gov.au/SVTS/</a></td>
<td>This information is made available to employers and AACs to assist them in identifying potential training providers for Apprentices / Trainees.</td>
</tr>
<tr>
<td></td>
<td>Lost/Forgotten User ID and/or Password details</td>
<td><a href="https://secure.otte.vic.gov.au/SVTS/">https://secure.otte.vic.gov.au/SVTS/</a></td>
<td>Refer to instructions on the Skills Victoria Training System Homepage.</td>
</tr>
</tbody>
</table>

17.2. If the Board/Council is delivering in Apprenticeship/Traineeship mode, the Board/Council must access the DELTA database at least weekly to identify new individuals that have nominated the Board/Council as their preferred provider.

17.3. When a Board/Council is nominated as the training provider relating to Clause 17.2, the details for the Apprentice or Trainee are lodged by an AAC and registered on Skills Victoria’s DELTA database. The Board/Council must have access to DELTA and should also check it regularly.

17.4. The Board/Council must register to use DELTA by completing the Conditions of Use form. Contact the DELTA Database Manager for a copy of the Conditions of Use form:
DELTA Database Manager  
Skills Victoria  
PO Box 266  
Melbourne 3001  
Fax: 03 9637 3220.

17.5. The Board/Council must use the SVTS for all student statistical data and claims for funding in accordance with the Victorian VET Student Statistical Guidelines and the Skills Victoria Training System: Guide to Claims.
18. Quick reference guide: Part Time and School-based Apprenticeships / Traineeships

<table>
<thead>
<tr>
<th>Part time Apprenticeship/Traineeship</th>
<th>School based Apprenticeships and Traineeships (SBATs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>A person undertaking a part time Apprenticeship / Traineeship outside of school hours. May or may not be a senior school student.</td>
<td>A senior school student undertaking a part time Apprenticeship / Traineeship partially in school hours. At least one day per week must be timetabled to be spent on the job or in training during the normal school week.</td>
</tr>
</tbody>
</table>

**Minimum hours**
At least 7 hours of employment and 6 hours of training per week. This may be averaged over:
- three periods of four months each in each year of the Training Contract for school students undertaking non integrated part time Apprenticeships/Traineeships
- 1, 2 or 4 week cycles for part time Apprentices/Trainees not attending school (includes mature age workers, existing employees etc).
- If the program is fully workplace based the Commission’s standards for workplace based training shall apply.

At least 7 hours of employment and 6 hours of training per week which may be averaged over three periods of four months in each year of the program, unless the program is fully workplace based in which case the Commission’s standards for workplace based training shall apply.

**School authorisation**
Not required

In order for the Training Contract to be registered with Skills Victoria as a school based Apprentice, a school representative is required to sign the student’s Training Plan. The school’s acknowledgement indicates:
- the student is enrolled in a senior secondary program (VCE or VCAL);
- the school’s awareness of the Training Plan and certification that the study, training and work commitments of the student form an integral part of that student’s school learning program and study timetable.

In signing the Training Plan, the school is not endorsing the quality of the training for the SBAT, the occupational health and safety arrangements, or the wage arrangements/requirements.

Note: The plan should be completed within 2 months of Training Contract commencing.

**Credit into VCE or VCAL**
If the person is a school student, they are eligible to receive VCE or VCAL credit for the vocational training, if sought.

The student is eligible to receive VCE or VCAL credit for the vocational training.

**Training Contract**
Responds Yes or no to Q18 as appropriate
Responds No to Q19.

Responds Yes to Q18
Responds Yes to Q 19.
<table>
<thead>
<tr>
<th>Part time Apprenticeship and Traineeship</th>
<th>School Based Apprenticeships and Traineeships (SBATs)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not Fully Workplace Based</td>
<td></td>
</tr>
<tr>
<td><strong>Minimum hours of employment</strong></td>
<td><strong>Non School Student</strong></td>
</tr>
<tr>
<td></td>
<td><strong>Employment</strong></td>
</tr>
<tr>
<td></td>
<td>13</td>
</tr>
<tr>
<td>Averaged Cycle</td>
<td>1, 2 or 4 weeks</td>
</tr>
</tbody>
</table>

For part time Apprenticeships / Traineeships which are not fully workplace based, the requirements of the Commission’s part time Apprenticeships / Traineeships policy shall apply. This requires that there be a minimum of 7 hours per week of employment and a minimum of six hours per week of Structured Training. This may be averaged over 1, 2 or 4 week cycles unless the Apprentice / Trainee is also a school student undertaking the Apprenticeship / Traineeship outside school hours in which case it may be averaged over three periods of four months in each year of the program.

In order for a Training Contract to be registered as a School-based Apprenticeship or Traineeship (SBAT), the Commission requires that there be a minimum of 7 hours per week of employment and a minimum of six hours per week of Structured Training which may be averaged over three periods of four months in each year of the program.

However if the training program is fully workplace based, the Commission’s standards for workplace based training shall apply (see below).

Additionally a school representative is required to sign the Training Plan and that plan should be submitted within 2 months of the Training Contract commencing. At least one day per week must be timetabled to be spent on the job or in training during the normal school week.

<table>
<thead>
<tr>
<th>Fully Workplace Based</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Minimum hours of employment</strong></td>
<td>The minimum hours of employment are 13 hours per week.</td>
</tr>
<tr>
<td></td>
<td>All Apprentices/Trainees undertaking workplace training at AQF levels 1 and 2 must be withdrawn from routine work duties for a minimum of 1.5 hours per week (pro rata for part time Apprentices/Trainees with a minimum of one half hour), averaged over a two month cycle for the purpose of undertaking Structured Training/learning activities. This release must occur periodically.</td>
</tr>
<tr>
<td></td>
<td>Where the qualification is at Certificate III or above, Apprentices/Trainees must be withdrawn from routine work duties for a minimum of three hours per week (pro rata for part time Apprentices/Trainees with a minimum of one hour) for planned training, averaged over a four week cycle.</td>
</tr>
<tr>
<td></td>
<td>The training undertaken during the period of release must include a focus on the compliance and regulatory units and the units concentrating on generic skills. Up to 40 hours of this training may be transferred, to be delivered in one or more blocks during the first three months of the training program.</td>
</tr>
</tbody>
</table>
19. Useful websites

Australian Apprenticeships Centres

Australian Quality Training Framework (AQTF)
http://www.training.com.au

Course Accreditation

Curriculum Maintenance Managers
http://trainingsupport.skills.vic.gov.au

Guide to Apprenticeships and Traineeships and industry guides

Ministerial Directions including Ministerial Directions about Fees

National Training Information Service (NTIS)

Skills Victoria Corporate website

Victorian VET Student Statistical Collection Guidelines

Victorian Curriculum and Assessment Authority (VCAA)

Victorian Purchasing Guides
http://trainingsupport.skills.vic.gov.au

Victorian Registration and Qualification Authority (VRQA)
http://www.vraq.vic.gov.au
## 20. Glossary

<table>
<thead>
<tr>
<th>Terms</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>ATYB</td>
<td>Apprenticeships Traineeships and Youth Branch of Skills Victoria, which administers the registration of Training Contracts on behalf of the Victorian Skills Commission (VSC).</td>
</tr>
<tr>
<td>AAC</td>
<td>Australian Apprenticeship Centre</td>
</tr>
<tr>
<td>Approved Training Schemes</td>
<td>An Approved Training Scheme means a training scheme approved under section 5.5.2. of the Education and Training Reform Act 2006.</td>
</tr>
<tr>
<td>AQF</td>
<td>The Australian Qualifications Framework</td>
</tr>
<tr>
<td>AVETMISS</td>
<td>Australian Vocational Education and Training Management Information Statistical Standard.</td>
</tr>
<tr>
<td>Course Commencement Date</td>
<td>Date of first scheduled training for the first module towards the completion of the qualification in which the student has enrolled.</td>
</tr>
<tr>
<td>Credit Transfer</td>
<td>Credit given based on documentary evidence of statement of attainment/qualifications.</td>
</tr>
<tr>
<td>DELTA Database</td>
<td>The DELTA Database records all Apprentices and Trainees in Victoria. All contracted RTOs delivering training to Apprentices or Trainees must have access to this database. It is an important source of information about Apprentices or Trainees that have been allocated to your RTO. Access to DELTA is a mandatory requirement for RTOs who receive funding for Apprentices and Trainees.</td>
</tr>
<tr>
<td>Enquiries</td>
<td>A form in the SVTS for RTOs to complete and submit for any enquiries about Skills for Victoria. Please allow up to three working days for a response.</td>
</tr>
<tr>
<td>Nominal Hours</td>
<td>Nominal Hours are the anticipated hours of learning or training deemed necessary in order to adequately cover the educational material. These hours are generally specified in the curriculum documentation of courses or the purchasing guides for training package qualifications. For further information about vocational education and training products and services, see <a href="http://www.training.com.au/">http://www.training.com.au/</a>.</td>
</tr>
<tr>
<td>Recognition of Current Competency (RCC)</td>
<td>RCC applies if a client has previously successfully completed the requirements of a unit of competency or module and is now required to be reassessed to ensure that the competence is being maintained. It is particularly relevant where there is a requirement for an occupational license or ticket in order to practice in the skill area, e.g. first aid, meat inspection. RCC will not be paid for through Skills for Victoria.</td>
</tr>
<tr>
<td>Recognition of Prior Learning (RPL)</td>
<td>RPL is a process through which people can gain entry to, or credit in, recognised courses based on competencies gained. The competencies</td>
</tr>
<tr>
<td>Terms</td>
<td>Explanation</td>
</tr>
<tr>
<td>---------------------------</td>
<td>---------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Terms</td>
<td>may have been gained through experience in the workplace, in voluntary work, in social or domestic activities or through informal or formal training. RPL does not include any additional training at the unit of competency or module level. RPL will be paid up to the nominal hours of the module or unit of competency.</td>
</tr>
<tr>
<td>SBAT</td>
<td>School-based Apprentice or Trainee. (Also referred to as ASbA – which stands for Australian School-based Apprenticeship and includes Trainees).</td>
</tr>
<tr>
<td>Scheduled Hours</td>
<td>The hours of teaching activity (including assessment times) that the Board/Council schedules for a module or unit of competency enrolment. The Scheduled Hours will often be the same as the Nominal Hours, however different local circumstances or delivery types may result in a variation from the nominal curriculum hours.</td>
</tr>
<tr>
<td>SMS</td>
<td>Student Management System</td>
</tr>
<tr>
<td>Structured Training: Off-the-job</td>
<td>This is Structured Training and Assessment that a RTO delivers in a formal setting. It is often referred to as ‘trade school’ or ‘block release’. Timetables vary, but may include a day a week or blocks of weeks at a training facility.</td>
</tr>
<tr>
<td>Structured Training: Workplace-based</td>
<td>This is the Structured Training (and assessment) organised to take place in the workplace by the Board/Council. It takes place when the Apprentice or Trainee is withdrawn from regular work duties.</td>
</tr>
<tr>
<td>SVTS</td>
<td>Skills Victoria Training System (SVTS) – Skills Victoria’s IT system for managing contracts between the Victorian Skills Commission and RTOs for delivery of Victorian government funded training.</td>
</tr>
<tr>
<td>TOID</td>
<td>Training Organisation Identifier</td>
</tr>
<tr>
<td>Training Contract</td>
<td>A Training Contract is an agreement signed by the employer and the Apprentice or Trainee (and a parent or guardian if applicable) specifying the type of Apprenticeship or Traineeship that will be undertaken. It details the training obligations of employers and Apprentices or Trainees. It also contains details on the commencement date for the training, the duration of the training period, at what workplace/ location the Apprentice or Trainee will receive practical experience, and which Registered Training Organisation will provide the structured, off-the-job or workplace based training. A Training Contract must be signed within 14 days of the Apprentice or Trainee being employed.</td>
</tr>
<tr>
<td>Training Contract Commencement Date</td>
<td>The date of commencement of the Training Contract for the Apprenticeship / Traineeship can be found on the DELTA record at the field called: (date) commenced. The date in this field is the date on which the legal Contract between the employer and the Apprentice / Trainee comes into effect.</td>
</tr>
<tr>
<td>Training Package Qualification</td>
<td>Identifies the title of the training package qualification directly related to the training, as described at <a href="http://www.ntis.gov.au/trainingpackage/all">http://www.ntis.gov.au/trainingpackage/all</a></td>
</tr>
<tr>
<td>Training Plan</td>
<td>A Training Plan documents detailed information on training and assessment to a group of students (collective Training Plan) or an individual student whose training is funded under this agreement. This information ensures that both the Board/Council and the group of...</td>
</tr>
<tr>
<td>Terms</td>
<td>Explanation</td>
</tr>
<tr>
<td>------------------------------</td>
<td>---------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>students or the individual student are making informed decisions about the services required and the respective obligations in the delivery of these services. The Training Plan provides details of the arrangements.</td>
<td></td>
</tr>
<tr>
<td>Victorian Training Guarantee</td>
<td>The Victorian Training Guarantee is an entitlement to a government subsidised place in recognised training that can be access at any time.</td>
</tr>
<tr>
<td>VRQA</td>
<td>Victorian Registration and Qualifications Authority – <a href="http://www.vrqqa.vic.gov.au">www.vrqqa.vic.gov.au</a></td>
</tr>
</tbody>
</table>
2011 TAFE Performance Agreement
Training Services Delivery

Schedule 2
Skills for Victoria
Program Specifications

<table>
<thead>
<tr>
<th>VERSION</th>
<th>DATE</th>
<th>COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2011 Version 1.0</td>
<td>October 2010</td>
<td>Draft Agreement</td>
</tr>
<tr>
<td>2011 Version 1.1</td>
<td>December 2010</td>
<td>Final Agreement</td>
</tr>
</tbody>
</table>
PURPOSE

This Schedule describes the Skills for Victoria program specifications and must be read in conjunction with the Agreement.

1 Eligibility requirements

1.1 The Board/Council must apply the following requirements to determine whether an individual is eligible for government subsidised training under this Schedule and thereby be an Eligible Individual for the purpose of this Schedule.

1.2 To be eligible, an individual must meet the general citizenship/residency requirements and Victorian Training Guarantee requirements as follows:

a To meet general citizenship/residency eligibility requirements, an individual must be:
   i an Australian citizen; or
   ii a holder of a permanent visa; or
   iii a holder of a Special Category Visa (sub-class 444); or
   iv an East Timorese asylum seeker; or
   v a holder of a Temporary Protection Visa.

b To meet the Victorian Training Guarantee eligibility requirements, an individual must enrol and commence training in a course or qualification provided by the Board/Council between the later of 1 January 2011 or when this Agreement is executed, and 31 December 2011 inclusive and be:
   i under 20 years of age (as at 1 January 2011) and undertaking recognised training; or
   ii over 20 years of age (as at 1 January 2011) and undertaking recognised training in a Foundation Skills course; or
   iii over 20 years of age (as at 1 January 2011) and undertaking recognised training as an Apprentice (not Trainee); or
   iv over 20 years of age (as at 1 January 2011) and undertaking recognised training in a course that is at a higher qualification level than the highest qualification held at the time of the scheduled commencement of training.

1.3 The Board/Council must have a clear business process in place for the determination of eligibility of individuals for government subsidised training under this Schedule. The business process and related documentation demonstrating that appropriate checks have been performed to establish each individual’s eligibility must be available to the Commission (or persons authorised by the Commission) for audit purposes. Relevant evidence may include copies of an individual’s...
formal documentation evidencing citizenship/residency and age; a signed self declaration from the individual as to their eligibility including in relation to previous highest qualifications held; a signed confirmation by a responsible staff member of the Board/Council that all checks have been undertaken and relevant documentary evidence sighted or retained.

1.4 Additional guidance for determining eligibility of individuals is detailed at Attachment 1 to this Schedule.

1.5 The eligibility requirements include a reference to courses in the Foundation Skills category. Courses have been classified into categories for the purposes of determining eligibility, tuition fee calculation and funding rates. In general, the course categories are as follows:

<table>
<thead>
<tr>
<th>Course Category</th>
<th>Award</th>
</tr>
</thead>
<tbody>
<tr>
<td>Foundation Skills</td>
<td>Foundation Level and Pre-Accredited Courses</td>
</tr>
<tr>
<td>Skills Creation</td>
<td>Certificate I and II</td>
</tr>
<tr>
<td>Skills Building</td>
<td>Certificate III and IV</td>
</tr>
<tr>
<td>Traineeships</td>
<td>Various</td>
</tr>
<tr>
<td>Apprenticeships</td>
<td>Various</td>
</tr>
<tr>
<td>Skills Deepening</td>
<td>Diploma and Advanced Diploma</td>
</tr>
</tbody>
</table>

1.6 The current detailed list of course categories is at Attachment 2 to this Schedule.

1.7 An individual is not eligible for government subsidised training under this Schedule if the individual is:

a. a student enrolled in a school (excluding a School Based Apprentice/Trainee), and undertaking accredited vocational education and training (VET) that has been arranged by the school. Government schools are responsible for funding these opportunities for VET through their Student Resource Package (SRP) allocation, including targeted VET in Schools funding. Non-government schools make similar decisions for students on the basis of the resources available to them. This, of course, does not preclude an individual of school age accessing VET opportunities separate to and outside of their school education; or

b. an individual held in a custodial setting as defined in clause 2.10 of the *Ministerial Directions about Fees* at the following custodial settings:

   i. Ararat Prison
   ii. Barwon Prison
iii Beechworth Prison
iv Dame Phyllis Frost Centre
v Dhurringile Prison
vi Langi Kal Kal Prison
vii Loddon Prison
viii Marngoneet Correctional Centre
ix Tarrengower Prison
x Truganina (Metropolitan Remand Centre)
xi West Melbourne (Melbourne Assessment Prison)
 xii Fulham Correctional Centre
 xiii Port Phillip Prison
xiv Thomas Embling Hospital
 xv Malmsbury Juvenile Justice Centre
 xvi Parkville Youth Residential Centre

1.8 These exclusions do not apply to individuals on Community Based Orders or individuals held in Judy Lazarus Transition Centre who, subject to Clause 1 of this Schedule, may be eligible for funding under this Schedule as they are able to physically access training outside of custodial settings without supervision.

2 Eligibility Exemptions

2.1 A limited number of government subsidised places will be available for individuals who do not meet the eligibility requirements detailed in Clause 1 of this Schedule (referred to as Eligibility Exemptions).

2.2 Eligibility Exemptions will be available for individuals in accordance with formal advice provided by the Commission and updated from time to time.

2.3 An Eligibility Exemption cannot be granted for individuals who do not meet the citizenship/residency eligibility requirements outlined above in Clause 1.2 a) of this Schedule.

2.4 The Board/Council will be advised by the Commission of the number of Eligibility Exemption places available to the Board/Council. Eligibility Exemptions may not be granted by the Board/Council until such time as the Commission confirms the number of Eligibility Exemption places available to the Board/Council.

2.5 The Board/Council is responsible for determining Eligibility Exemptions on a case by case basis, at a local level in accordance with the guidelines from the Commission. The Board/Council is required to retain documented evidence to support its decision to grant an Eligibility Exemption to an individual.
2.6 Eligibility Exemptions will apply to the total hours in a course or qualification, up to completion. An Eligibility Exemption provides access to a government funded place only and does not extend to student tuition or other fees, which apply these enrolments in the same way as all other enrolments.

2.7 The Board/Council must report Eligibility Exemptions to the Commission through the use of the Eligibility Exemption Indicator field in the monthly Student Statistical Reports to be provided under the Agreement.

2.8 An individual granted an Eligibility Exemption in accordance with the above procedures will be considered an Eligible Individual for the purposes of the Schedule.

3 Tuition Fees

3.1 The Board/Council must adhere to the Ministerial Directions about Fees and related fee tables, or any subsequent relevant directions, in regards to the imposition and collection of tuition fees and other fees for government subsidised training, together with financial and accountability requirements with regards to student fees.

4 Determination and payment of Fee Concession Reimbursements

4.1 Fee concessions can only be considered for application to individuals undertaking government subsidised training funded under this Agreement.

4.2 Where the Board/Council has granted a fee concession:

a to an Eligible Individual who holds a relevant concession card in accordance with the Ministerial Directions about Fees; or

b to an Indigenous student under the Indigenous Completions Initiative;

the Board/Council will be reimbursed by the Commission for income foregone as a result of charging the minimum tuition fee to that individual.

4.3 The Board/Council must retain copies of all documentation demonstrating an individual’s eligibility for the fee concession granted by the Board/Council for audit purposes and to meet the record keeping requirements of the Ministerial Directions about Fees.

4.4 The Board/Council must report all fee concessions granted by the Board/Council to Eligible Individuals to the Commission in accordance with the fee concession reporting requirements outlined in the Victorian VET Student Statistical Collection Guidelines issued by the Commission.

4.5 Reimbursement by the Commission under Clause 4.2 of this Schedule is on the basis of Student Statistical Reports provided by the Board/Council taking into account scheduled hours; qualifications for
enrolments for which concessions were granted; and data indicating the grounds for the concession granted to an individual.

4.6 The reimbursement amount for the purposes of Clause 4.2 of this Schedule will be calculated by the Commission on the basis of 80 per cent of the applicable maximum hourly tuition fee, up to the applicable maximum, less the minimum fee.

4.7 Income foregone on the basis of concessions granted to individuals in relation to extreme hardship as described in the Ministerial Directions about Fees will not be reimbursed by the Commission.

4.8 Should any audit of the Board/Council under the Agreement identify issues relating to inadequate documentary evidence for fee concessions granted to Eligible Individuals, the Commission may seek a refund of some or all of the Fee Concession Reimbursement payments made by the Commission to the Board/Council under this Agreement.

5 Determination and payment of Fee Waiver Payments

General Fee Waiver Requirements

5.1 Fee waivers can only be considered for application to individuals undertaking government subsidised training funded under this Agreement.

5.2 The Board/Council must retain copies of all documentation demonstrating an individual’s eligibility for a fee waiver granted by the Board/Council for audit purposes and to meet the record keeping requirements of the Ministerial Directions about Fees.

5.3 The Board/Council must report all fee waivers granted to Eligible Individuals to the Commission in accordance with the fee exemption reporting requirements outlined in the Victorian VET Student Statistical Collection Guidelines issued by the Commission.

5.4 Should any audit of the Board/Council under the Agreement identify issues relating to inadequate documentary evidence for fee waivers, the Commission may seek a refund of some or all of the Fee Waiver Payments made by the Commission to the Board/Council under this Agreement.

TAFE Fee Waiver for Child Care Qualifications

5.5 The Board/Council must apply a fee waiver to an Eligible Individual who is a student undertaking eligible government funded child care courses. Under the National Partnership Agreement, these courses are defined as the following training package qualifications:

a. CHC50908 - Diploma of Children’s Services (Early Childhood Education and Care);

b. CHC60208 - Advanced Diploma of Children’s Services;

c. CHC50302 - Diploma of Children’s Services; and
5.6 Where the Board/Council has granted a fee waiver under Clause 5.5 of this Schedule to a student who is an Eligible Individual, the Board/Council will be paid an amount by the Commission equal to the value of the revenue foregone by the Board/Council in applying the fee waiver to the student.

5.7 For Eligible Individuals undertaking eligible government funded child care courses who self-identify as being of Aboriginal or Torres Strait Islander descent (and are reported as such through the “Indigenous Student Identifier” field of the Student Statistical Report), the child care fee waiver supersedes the special tuition fee arrangements under the Indigenous Completions Initiative.

5.8 The Board/Council is required to inform current and potential child care students about the tuition fee waiver for child care qualifications in fee policy documents, promotional material such as websites, handbooks, and brochures and through student administration and career counsellor networks.

Job Seeker Fee Waiver

5.9 The Board/Council must apply a fee waiver to an Eligible Individual who is a Job Seeker. A ‘Job Seeker’ is defined as a person who is:

a. registered with an Employment Services Provider (ESP); and/or

b. a participant in the Commonwealth Government’s Community Development Employment Program and/or Access Program.

5.10 Where the Board/Council has granted a fee waiver under Clause 5.9 of this Schedule to a Job Seeker who is an Eligible Individual, the Board/Council will be paid an amount by the Commission equal to the value of the revenue foregone by the Board/Council in applying the fee waiver to the Job Seeker.

5.11 The Job Seeker fee waiver to be applied by the Board/Council is limited to enrolments in Certificates I, II, III, and IV and does not extend to any other fees, such as student services and amenities fees.

5.12 For Eligible Individuals who self-identify as being of Aboriginal or Torres Strait Islander descent (and are reported as such through the "Indigenous Student Identifier" field of the Student Statistical Report), the job seeker fee waiver supersedes the special tuition fee arrangements under the Indigenous Completions Initiative.

5.13 The Board/Council must sight and retain a copy of the original Job Seeker Referral form, and then return the original to the individual. On enrolment, a copy of this form must also be returned by the Board/Council to the Job Seeker’s referral agency.

5.14 Revenue foregone by the Board/Council (for the purposes of calculating the Fee Waiver Payment under this Schedule) will be calculated and reimbursed based on the scheduled hours in each
enrolment, up to the relevant category fee cap. Hours below the usual minimum tuition fee will be included.

6 Determination and payment of Contact Hour Funds

6.1 The Commission will only make payment of Contact Hour Funds (as calculated in accordance with this Clause 6 of this Schedule) for Training Services delivered to Eligible Individuals for currently endorsed courses and qualifications as identified on the Funded Courses Report as published on the SVTS. The Funded Courses Report is subject to change at any time.

6.2 The Board/Council may request (through the SVTS Enquiry line) the Commission to add a course or qualification to the Funded Courses Report. Private copyright courses will only be considered for inclusion where relevant course documentation is provided to the Commission. The Commission reserves the right to fund or refuse funding to any course or qualification at its absolute discretion.

6.3 Subject to Clause 6.9 of this Schedule, the Commission will pay the Board/Council for scheduled hours of training reported under this Schedule as having been delivered to Eligible Individuals. The Commission will pay the Board/Council at the rate per student contact hour (SCH) detailed under the ‘SCH Rate TAFE’ field of the Funded Courses Report as published on the SVTS.

6.4 The rate per SCH detailed on the Funded Courses Report will be adjusted by the Commission for Eligible Individuals who self-identify as being of Aboriginal or Torres Strait Islander descent (and are reported as such through the “Indigenous Student Identifier” field of the Student Statistical Report) by multiplying the ‘Base Rate TAFE’ for the course (as identified on the Funded Courses Report) by 1.5.

6.5 Except where doing so will result in a lower rate per SCH, the rate per SCH detailed on the Funded Courses Report will be adjusted by the Commission for Eligible Individuals aged 15-19 years as at 1 January 2011 without Year 12 or equivalent (deemed to be Certificate II for this purpose) by multiplying the ‘Base Rate TAFE’ for the course (as identified on the Funded Courses Report) by 1.3.

6.6 Payments of Contact Hour Funds to the Board/Council in respect of Eligible Individuals by the Commission under this Schedule will be made monthly in arrears by the Commission on the basis of Student Statistical Reports submitted by the Board/Council to the Commission in accordance with the Agreement and on the understanding that the Board/Council has Evidence of Participation for each Eligible Individual in respect of which it is claiming payment.

6.7 Evidence of Participation in respect of each Eligible Individual, for the purpose of payment of Contact Hour Funds by the Commission under this Schedule, is required at a minimum of:

a. one point per unit of competency/ module if the elapsed time for delivery is one month or less; or
b two points per unit of competency/module if the elapsed time for delivery is greater than one month; and must be in accordance with the types of evidence specified at Clause 3 of Schedule 1.

6.8 Contact Hour Funds paid by the Commission to the Board/Council in respect of Eligible Individuals will be calculated at the unit of competency or module level, such that the scheduled hours reported will be averaged over the number of months of scheduled delivery.

6.9 The Commission will pay the Contact Hour Funds to the Board/Council in respect of an Eligible Individual up to the maximum nominal hours per course or qualification as identified in the relevant Victorian Purchasing Guide or State Accredited course.

6.10 Training Services to Eligible Individuals which are funded by the Commission under this Schedule must be reported by the Board/Council against:
   a Funding Source Code PSG for Eligible individuals who are Skills for Growth referrals and who are not under a contract of training (i.e. not apprentices/trainees); or
   b Funding Source Code P for Eligible individuals who are not Skills for Growth referrals and who are not under a contract of training (i.e. not apprentices/trainees); or
   c Funding Source Code LSG for Eligible individuals who are Skills for Growth referrals and who are under a contract of training (i.e. apprentices/trainees); or
   d Funding Source Code L for Eligible individuals who are not Skills for Growth referrals and who are under a contract of training (i.e. apprentices/trainees).

6.11 The Board/Council must identify all units of competency or modules relevant to the course or qualification in which an Eligible Individual is enrolled that have been attained by an individual as a result of previous formal training. The Board/Council is not eligible for Contact Hour Funds from the Commission for the student contact hours associated with these units of competency or modules, and must report these student contact hours as Credit Transfer.

6.12 Recognition of Prior Learning (RPL) will be paid up to the nominal hours per unit of competency or module. Recognition of Current Competency will not be funded by the Commission under the Agreement.

6.13 In the event that an Eligible Individual withdraws from Training Services delivered under this Schedule prior to achieving competency in an individual module or unit of competency, the enrolment must be reported by the Board/Council against outcome code "40" in the "Outcome Identifier - National" field of the NAT120 file in that month's Student Statistical Report in accordance with the 2010 Victorian VET Student Statistical Collection Guidelines. In these instances, payment of Contact Hour Funds in respect of the individual by the Commission for the individual module or unit of competency from which the...
individual withdrew will be made in accordance with the Hours Attended as reported in the NAT120 file, instead of the Scheduled Hours.

7 Audits relating to Evidence of Participation

7.1 Should any audit of the Board/Council under the Agreement identify issues relating to a lack of Evidence of Participation for Funds paid to the Board/Council for Training Services provided to Eligible Individuals under this Schedule, the Commission may instigate an Evidence of Participation Audit involving a statistically valid sample size.

7.2 The Board/Council must be able to support the sampled units of competency/ modules with Evidence of Participation as prescribed in Schedule 1 of this Agreement, in respect of each Eligible Individual for which it has received Funds from the Commission.

7.3 If the Evidence of Participation Audit reveals that units of competency/ modules audited are not sufficiently supported then, without limiting the Commission's rights under Clause 15 of the Agreement, where the percentage of unsupported units of competency/ modules exceeds a threshold specified by the Commission, the Commission may seek a refund of some or all the Funds from the Board/Council.

7.4 The value of Funds sought by the Commission under this Clause may be up to the percentage of unsupported units of competency/ modules above the threshold, applied to the total Funds paid to the Board/Council in the 2011 calendar year under this Agreement.
Attachment 1

Notes for the purpose of determining prior qualification in eligibility

This criterion applies to qualifications, not course categories.

For the purpose of applying this criterion, the following qualifications are equivalent to Certificate II:

a) the Victorian Certificate of Applied Learning (Intermediate and Senior);

b) the Victorian Certificate of Education and its predecessor qualifications;

c) the International Baccalaureate Diploma; and

d) senior secondary school certificates from other Australian jurisdictions.

With reference to vocational Graduate Certificate and vocational Graduate Diploma level qualifications, for the purpose of determining the highest qualification held, the Australian Qualification Framework (AQF) applies, i.e. qualifications at Bachelor Degree level are lower than qualifications at vocational Graduate Certificate and vocational Graduate Diploma level.

For the purpose of applying this criterion, the following prior qualifications are not taken into account:

a) qualifications listed in the Foundation Skills category; and

b) any VET certificates completed as part of a senior secondary qualification; and

c) qualifications with the title ‘Course in...’ which are not aligned to a specified level within the Australian Qualifications Framework (AQF); and

d) non-Australian qualifications, except where equivalency has been formally established with a qualification within the AQF.

Eligibility for accredited courses with the title ‘Course in...’

A number of courses with the title ‘Course in...’ are accredited. These are nationally recognised training products providing skills recognition leading to a Statement of Attainment, rather than the award of a qualification recognised within the Australian Qualifications Framework (AQF). They are not aligned with the AQF.
For funding and fee charging purposes each ‘Course in…’ is classified in one of three categories, according to whether its purpose is to develop Foundation Skills, to deliver specific skills or knowledge unrelated to trade licensing (Skills Creation) or to deliver specific skills and knowledge required for trade licensing (Skills Building). The classifications of these courses are recorded in the Funded Courses Report.

Enrolment in a ‘Course in…’ is subject to the same eligibility requirements as other enrolments. Other than for those classified in the Foundation Skills category, this includes the following eligibility criterion:

- Subject to citizenship/residency requirements, a person aged 20 years or over on 1 January in the year of the scheduled commencement of training is eligible for a government subsidised place in any course in any category other than Foundation Skills, or if the person is seeking to enrol in training as an Apprentice under a Training Contract, if the course is accredited at a higher qualification level than the highest qualification held at the time of scheduled commencement.

For the purpose of applying this criterion to enrolment in a course with the title ‘Course in…’, a person who holds a qualification no higher than Certificate IV is to be considered eligible. For the purpose of applying this criterion, qualifications at Diploma level or higher are considered higher than courses with the title ‘Course in…’.
Classification of courses into categories for the purposes of tuition fee calculation and funding rate

The following classification will be updated from time to time as determined by the Commission and in line with updates to accreditation status.

**FOUNDATION SKILLS CATEGORY**

<table>
<thead>
<tr>
<th>Course code</th>
<th>Qualification</th>
</tr>
</thead>
<tbody>
<tr>
<td>21770VIC</td>
<td>Course in Initial General Education for Adults</td>
</tr>
<tr>
<td>21896VIC</td>
<td>Course in Recognised Informal Learning</td>
</tr>
<tr>
<td>21938VIC</td>
<td>Course in ESL</td>
</tr>
<tr>
<td>91418NSW</td>
<td>Course in Preliminary Spoken and Written English</td>
</tr>
<tr>
<td>91471NSW</td>
<td>Course in Language, Literacy and Numeracy</td>
</tr>
<tr>
<td>VCALFND001</td>
<td>Victorian Certificate of Applied Learning (Foundation)</td>
</tr>
<tr>
<td>2200LZF</td>
<td>Preparatory Year 11 Equivalent – General Studies</td>
</tr>
<tr>
<td>22012VIC</td>
<td>Certificate I in Vocational Preparation</td>
</tr>
<tr>
<td>21671VIC</td>
<td>Certificate I in Transition Education</td>
</tr>
<tr>
<td>21672VIC</td>
<td>Certificate I in Work Education</td>
</tr>
<tr>
<td>21771VIC</td>
<td>Certificate I in General Education for Adults (Introductory)</td>
</tr>
<tr>
<td>21772VIC</td>
<td>Certificate I in General Education for Adults</td>
</tr>
<tr>
<td>21859VIC</td>
<td>Certificate I in Mumgu-dhai tyama-tyt</td>
</tr>
<tr>
<td>21890VIC</td>
<td>Certificate I in Initial Adult Literacy and Numeracy (Entry)</td>
</tr>
<tr>
<td>21891VIC</td>
<td>Certificate I in Initial Adult Literacy and Numeracy (Foundation)</td>
</tr>
<tr>
<td>21892VIC</td>
<td>Certificate I in Initial Adult Literacy and Numeracy (Established)</td>
</tr>
<tr>
<td>21936VIC</td>
<td>Certificate I in ESL (Access)</td>
</tr>
<tr>
<td>91421NSW</td>
<td>Certificate I in Spoken and Written English</td>
</tr>
<tr>
<td>21773VIC</td>
<td>Certificate II in General Education for Adults</td>
</tr>
<tr>
<td>21860VIC</td>
<td>Certificate II in Mumgu-dhai tyama-tyt</td>
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<tr>
<td>21932VIC</td>
<td>Certificate II in ESL (Access)</td>
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<tr>
<td>21945VIC</td>
<td>Certificate II in ESL (Employment)</td>
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<tr>
<td>91422NSW</td>
<td>Certificate II in Spoken and Written English</td>
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<tr>
<td>21774VIC</td>
<td>Certificate III in General Education for Adults</td>
</tr>
<tr>
<td>21861VIC</td>
<td>Certificate III in Mumgu-dhai tyama-tyt</td>
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<tr>
<td>21933VIC</td>
<td>Certificate III in ESL (Access)</td>
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<tr>
<td>21935VIC</td>
<td>Certificate III in ESL (Employment)</td>
</tr>
<tr>
<td>21939VIC</td>
<td>Certificate III in ESL (Further Study)</td>
</tr>
<tr>
<td>91423NSW</td>
<td>Certificate III in Spoken and Written English</td>
</tr>
</tbody>
</table>

*Continued…*
### FOUNDATION SKILLS CATEGORY - continued

<table>
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<tr>
<th>Course code</th>
<th>Qualification</th>
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<tbody>
<tr>
<td>21934VIC</td>
<td>Certificate IV in ESL (Access)</td>
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<tr>
<td>21937VIC</td>
<td>Certificate IV in ESL (Employment/Professional)</td>
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<tr>
<td>21940VIC</td>
<td>Certificate IV in ESL (Further Study)</td>
</tr>
<tr>
<td>91419NSW</td>
<td>Certificate IV in Spoken and Written English – Further Studies</td>
</tr>
<tr>
<td>91420NSW</td>
<td>Certificate IV in Spoken and Written English – Employment</td>
</tr>
</tbody>
</table>

**Note**

This category is limited to the specific qualifications listed and their predecessors for which the accreditation period has expired. For example, predecessor qualifications for the current Certificate I in Initial Adult Literacy and Numeracy (Foundation) are 5336 (expired 2003) and 21344VIC (expired 2008).

### SKILLS CREATION CATEGORY

<table>
<thead>
<tr>
<th>Course code</th>
<th>Qualification</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td>All qualifications accredited as Certificate I other than those classified as Foundation Skills and except when undertaken as an Apprenticeship or Traineeship</td>
</tr>
<tr>
<td>N/A</td>
<td>All qualifications accredited as Certificate II other than those classified as Foundation Skills and except when undertaken as an Apprenticeship or Traineeship</td>
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<tr>
<td>N/A</td>
<td>Single modules, units or a ‘Course in…’ undertaken as stand-alone training to meet regulatory or industry requirements (For example, 21659VIC Course in First Aid Management of Anaphylaxis; 21896VIC Course in Taxi Driving; Responsible Service of Alcohol; Responsible Service of Gaming)</td>
</tr>
<tr>
<td>VCALINT001</td>
<td>Victorian Certificate of Applied Learning (Intermediate)</td>
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<tr>
<td>VCALSEN001</td>
<td>Victorian Certificate of Applied Learning (Senior)</td>
</tr>
<tr>
<td>VCE0000001</td>
<td>Victorian Certificate of Education</td>
</tr>
</tbody>
</table>

**Note**

Accredited programs with the title ‘Course in…’ have a range of entry requirements and skill development purposes and are not aligned with levels within the Australian Qualifications Framework. These programs, other than those listed as Foundation Skills or for the purpose of trade licensing, are classified in the Skills Creation category.

### SKILLS BUILDING CATEGORY

<table>
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<th>Course code</th>
<th>Qualification</th>
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<tbody>
<tr>
<td>N/A</td>
<td>All qualifications accredited as Certificate III other than those classified as Foundation Skills and except when undertaken as an Apprenticeship or Traineeship</td>
</tr>
<tr>
<td>N/A</td>
<td>All qualifications accredited as Certificate IV other than those classified as Foundation Skills and except when undertaken as an Apprenticeship or Traineeship</td>
</tr>
</tbody>
</table>
N/A  Single modules, units or a ‘Course in...’ undertaken as stand-alone training for the purpose of meeting licensing requirements for a trade or an extension of trade licensing (For example, 2002AEC Course in Scaffolding – Advanced; 2002AIC Course in Tower Crane Operation)

**Note**
Accredited programs with the title “Course in...” have a range of entry requirements and skill development purposes. Any ‘Course in...’ for the purpose of trade licensing is classified in the Skills Building category.

### TRAINEESHIPS CATEGORY

<table>
<thead>
<tr>
<th>Course code</th>
<th>Qualification</th>
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<tbody>
<tr>
<td>N/A</td>
<td>All qualifications undertaken under a Training Contract registered within the Australian Apprenticeship and Traineeship Program. An individual is undertaking a Traineeship (as opposed to an Apprenticeship) if the qualification undertaken is designated as such in the relevant Approved Training Scheme.</td>
</tr>
</tbody>
</table>

**Note**
All qualifications undertaken as a Traineeship are included in this category, regardless of the AQF qualification being undertaken.

### APPRENTICESHIPS CATEGORY

<table>
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<tr>
<th>Course code</th>
<th>Qualification</th>
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<tbody>
<tr>
<td>N/A</td>
<td>All qualifications undertaken under a Training Contract registered within the Australian Apprenticeship and Traineeship Program. An individual is undertaking an Apprenticeship (as opposed to a Traineeship) if the qualification undertaken is designated as such in the relevant Approved Training Scheme.</td>
</tr>
</tbody>
</table>

**Note**
All qualifications undertaken as an Apprenticeship are included in this category, regardless of the AQF qualification being undertaken.

### SKILLS DEEPENING CATEGORY

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<tr>
<th>Course code</th>
<th>Qualification</th>
</tr>
</thead>
<tbody>
<tr>
<td>N/A</td>
<td>All qualifications accredited as Diploma, except when undertaken as an Apprenticeship or Traineeship</td>
</tr>
<tr>
<td>N/A</td>
<td>All qualifications accredited as Advanced Diploma, except when undertaken as an Apprenticeship or Traineeship</td>
</tr>
<tr>
<td>N/A</td>
<td>All qualifications accredited as vocational Graduate Certificate</td>
</tr>
<tr>
<td>N/A</td>
<td>All qualifications accredited as vocational Graduate Diploma</td>
</tr>
</tbody>
</table>

**Note**
Graduate Certificates and Graduate Diplomas accredited as Higher Education qualifications are not included.
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2011 TAFE Performance Agreement
Training Services Delivery

Schedule 3
TAFE Pipeline Specifications

<table>
<thead>
<tr>
<th>VERSION</th>
<th>DATE</th>
<th>COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2011 Version 1.0</td>
<td>October 2010</td>
<td>Draft Agreement</td>
</tr>
<tr>
<td>2011 Version 1.1</td>
<td>December 2010</td>
<td>Final Agreement</td>
</tr>
</tbody>
</table>
PURPOSE
A This Schedule describes the specifications related to Training Services commenced prior to 1 January 2011 and continuing in 2011 (TAFE Pipeline) and must be read in conjunction with the Agreement.

1 Eligibility Requirements
1.1 Funding provided under this Schedule is only available for Training Services delivered to Continuing Students.

1.2 For the purposes of this Schedule, a 'Continuing Student' is defined as an individual:

a whose training commenced prior to 1 January 2011; and

b who is continuing training in the 2011 calendar year in the same course/qualification* that they were reported against in the 2010 calendar year; and

c who was eligible for funding under the 2010 Performance Agreement between the Commission and the Board/Council (2010 Performance Agreement); and

d who was reported via the Student Statistical Data Collection in 2010 under Funding Source Codes L, P, Z35, LQ, RW, RWL, RWP, LSG, PSG, YCL, and YCP.

* if, after the endorsement of a new/revised Training Package and the availability of the relevant Victorian Purchasing Guide, an individual transitions in 2011 into the replacement qualification in the new/revised training package (as advised in the transition section of the relevant Purchasing Guide), the individual is deemed to be in the same course/qualification for the purposes of Clause 1.2, above.

For the avoidance of doubt, all of the above criteria must be satisfied in respect of each individual.

1.3 Where, due to an authorised period of absence documented by the Board/Council, such that there was no delivery of Training Services reported in the 2010 calendar year, and the Continuing Student recommences in the same course/qualification in the 2011 calendar year, they will be considered a Continuing Student for the purposes of this Schedule.
2 Scope

PART A: Skills for Victoria Pipeline paid through SVTS

2.1 The Commission will make payment of the applicable Funds to the Board/Council:

a for Training Services delivered in the 2011 calendar year to Continuing Students whose 2010 delivery was paid through Schedule 3 Part 4B of the 2010 Performance Agreement (Training Delivery Paid Through SVTS); and

b in accordance with Clauses 6.1, 6.3, 6.4, 6.5, 6.6, 6.8, 6.9, 6.11, and 6.12 only of Clause 6 Determination and payment of Contact Hour Funds of Schedule 2 of this Agreement.
PART B Youth Compact Pipeline

2.2 The Commission will make a Youth Compact Advance Payment of «ProfileYouth» to the Board/Council towards Training Services delivered in the 2011 calendar year to Continuing Students whose 2010 delivery was counted towards the Youth Compact as identified in Schedule 3 Part 2B of the 2010 Performance Agreement, except for individuals reported against Funding Source Code ZC.

2.3 The Commission will pay the Board/Council the Youth Compact Advance Payment by way of financial advances derived from the Victorian Government appropriation and Commonwealth-State 'National Agreement for Skills and Workforce Development', the amounts specified in relation this Part B. Except where specifically detailed otherwise, the financial advances will be made by twice monthly payments on the 1st and 15th day of the calendar month.

2.4 The final Student Statistical Report for 2011 will be used to determine the quantum of verifiable SCH that have been delivered towards the Youth Compact Advance Payment.

2.5 Except as identified in Clause 3.3 of this Schedule, the Commission will value Training Services delivered by the Board/Council to Continuing Students in the 2011 calendar year under this Part B of this Schedule by multiplying the Scheduled Hours for relevant Continuing Students as reported via the final Student Statistical Report for 2011 by the relevant Rate per Student Contact Hour (Rate per SCH) as detailed in Clause 4 Table 1 of this Schedule.

2.6 In reconciling Training Services reported against the Youth Compact Advance Payment, the following will be taken into account for relevant Continuing Students:

a. Training Services reported under Funding Source Codes YCP and YCL; and

b. the P and L data file of continuing 2009 students referenced in Executive Memorandum 2010-10: Reporting And Reconciliation Of 2010 Youth Compact Minimum Value, issued via email on 13 April 2010 and as updated on 2 August 2010, where applicable.

2.7 In the event that the value of Training Services delivered by the Board/Council to relevant Continuing Students in the 2011 calendar year is greater than the Youth Compact Advance Payment, the Commission will make a supplementary payment retrospectively.

2.8 In the event that the value of Training Services delivered by the Board/Council to relevant Continuing Students in the 2011 calendar year is less than the Youth Compact Advance Payment the Board/Council must return funds to the Commission to the value of that shortfall.
PART C Purchased Pipeline

2.9 The Commission will make payment up to a Purchased Pipeline Maximum Value of «ProfileNotYouth» to the Board/Council for Training Services delivered in the 2011 calendar year to Continuing Students whose 2010 delivery was eligible to be counted towards:

a the Total Minimum Value as identified in Schedule 3 Part 4A (i) of the 2010 Performance Agreement, excluding those funded through the Youth Compact; and

b if applicable, the Total Minimum Value for Additional Apprenticeships/Traineeships under Addendum 2010-04 of the 2010 Performance Agreement.

2.10 The Commission will pay the Board/Council the Purchased Pipeline Maximum Value by way of financial advances derived from the Victorian Government appropriation and Commonwealth-State 'National Agreement for Skills and Workforce Development', the amounts specified in relation to this Part C. Except where specifically detailed otherwise, the financial advances will be made by twice monthly payments on the 1st and 15th day of the calendar month.

2.11 The final Student Statistical Report for 2011 will be used to determine the quantum of verifiable SCH that have been delivered towards the Purchased Pipeline Maximum Value.

2.12 Except as identified in Clause 3.3 of this Schedule, the Commission will value Training Services delivered by the Board/Council to Continuing Students in the 2011 calendar year under this Part C of this Schedule by multiplying the Scheduled Hours for relevant Continuing Students as reported via the final Student Statistical Report for 2011 by the relevant Rate per Student Contact Hour (Rate per SCH) as detailed in Clause 4 Table 1 of this Schedule.

2.13 In reconciling Training Services reported against the Purchased Pipeline Maximum Value, the following Funding Source Codes will be taken into account for relevant Continuing Students: P, L, Z35, and LQ.

2.14 In the event that the value of Training Services delivered by the Board/Council to Continuing Students in the 2011 calendar year is less than the Purchased Pipeline Maximum Value, the Board/Council must return funds to the Commission to the value of that shortfall.
PART D Retrenched Worker 2009 Pipeline

2.15 The Commission will make payment of the applicable Funds to the Board/Council for Training Services delivered in the 2011 calendar year to Continuing Students who commenced training in 2009 under the 2009 Victorian Retrenched Worker Training Initiative and are continuing training in the 2011 calendar year under the same enrolment.

2.16 The final Student Statistical Report for 2011 will be used to determine the quantum and value of verifiable SCH that have been delivered to Retrenched Worker 2009 Pipeline Continuing Students.

2.17 Except as identified in Clause 3.3 of this Schedule, the Commission will value Training Services delivered by the Board/Council under this Part D of this Schedule by multiplying the Scheduled Hours for relevant Continuing Students as reported via the final Student Statistical Report for 2011 by the relevant Rate per Student Contact Hour (Rate per SCH) as detailed in Clause 4 Table 1 of this Schedule.

2.18 In reconciling Training Services reported against the Retrenched Worker 2009 Pipeline, the Funding Source Code RW will be taken into account for relevant Continuing Students.

2.19 The Commission will make a retrospective payment in 2012 for any Training Services delivered in the 2011 calendar year to Continuing Students under the Retrenched Worker 2009 Pipeline.
3 General conditions regarding the determination and payment of Contact Hour Funds

3.1 The Commission will only make payment under this Schedule for Training Services delivered to eligible Continuing Students in the 2011 calendar year as defined in Clause 1 of this Schedule.

3.2 The Board/Council must identify all units of competency or modules relevant to the course or qualification in which an Eligible Individual is enrolled that have been attained by an individual as a result of previous formal training. The Board/Council is not eligible for Contact Hour Funds from the Commission for the student contact hours associated with these units of competency or modules, and must report these student contact hours as Credit Transfer.

3.3 Recognition of Prior Learning (RPL) will be paid up to the nominal hours per unit of competency or module. Recognition of Current Competency will not be funded by the Commission under this Agreement.

3.4 For the purpose of funding and payments under this Schedule only, Evidence of Participation is required at a minimum of one point during the unit of competency or module, and must be in accordance with the types of evidence specified at Clause 3 of Schedule 1 – Minimum Performance Standards for Training Delivery.

3.5 Training Services funded under this Schedule must continue to be reported against the same Funding Source Code under which they were reported in 2010.

3.6 For the purposes of reconciliation, to account for the requirement that the full Scheduled Hours be reported for all units of competency/modules (“units”) regardless of whether the unit spans more than one calendar year, the Commission will apply the following apportioning rules in reconciling training delivery for 2011 under Parts B to D of this Schedule:

a for units which started before 2011 and finish in 2011, the total Scheduled Hours already counted for the unit up to and including 2010 will be subtracted from the Scheduled Hours reported in 2011 to obtain the Scheduled Hours to be counted in 2011; and

b for units which started before 2011 and continue into 2012 (and potentially beyond 2012), the total Scheduled Hours to be counted in 2011 will be calculated in two stages. In the first stage, the Scheduled Hours already counted for the unit up to and including 2010 will be subtracted from the full Scheduled Hours reported in 2011 to obtain the balance of Scheduled Hours yet to be paid. The second stage will multiply this balance of Scheduled Hours yet to be paid by the proportion of the unit’s remaining duration that lies within 2011 (according to the number of months between January 2011 and the reported Enrolment Activity End Date); and
c for units starting in 2011 and continuing into 2012 (and potentially beyond 2012), the full reported Scheduled Hours will be multiplied by the proportion of the unit's duration that lies within 2011 (according to the number of months between the reported start and end dates).
4 Funding Rates

4.1 The Rate per SCH identified in Table 1 of this Schedule will be applied to Training Services funded under Parts B to D of this Schedule as determined by the Industry Sector classification for the course/qualification undertaken (or where relevant, the Eligible Individual's particular Cohort classification, whichever is greater), and the course commencement date.

4.2 For the purposes of reconciliation, industry sector classifications will be valued as per the mapping identified in the relevant NAT 30 file at the time of contracting.

Table 1: Rate per SCH for training delivery in 2011

<table>
<thead>
<tr>
<th>Industry Sector or Cohort Classifications**</th>
<th>Rate per Student Contact Hour for training delivered to Continuing Students who enrolled in and commenced their course prior to 1 July 2009*</th>
<th>Rate per Student Contact Hour for training delivered to Continuing Students who enrolled in and commenced their course on or after 1 July 2009, according to the course categories detailed in Schedule 2 Attachment 2 of this Agreement</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Industry Sector classification:</strong></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| Business and Clerical, Community Services, Cross Industries, Finance, First Aid and Occupational Health and Safety, Government Administration and Services, Property Services, Scientific and Technical or Wholesale and Retail | $7.57                                                                                                    | Foundation = $7.80
Skills Creation = $7.46
Skills Building = $7.19
Traineeships = $7.19
Apprenticeships = $7.73
Skills Deepening = $5.63 |
| **Industry Sector classification:**       |                                                                                                           |                                                                                                                                                                                                                                                                |
| Communications, General Preparatory, Information Technology, Language and Literacy, Languages Other Than English (LOTE), Victorian Certificate of Education (VCE) or Victorian Certificate of Applied Learning (VCAL) | $8.52                                                                                                    | Foundation = $8.78
Skills Creation = $8.39
Skills Building = $8.09
Traineeships = $8.09
Apprenticeships = $8.70
Skills Deepening = $6.34 |
| **Industry Sector classification:**       |                                                                                                           |                                                                                                                                                                                                                                                                |
| Arts and Design, Hospitality, Personal Services or Tourism | $9.46                                                                                                    | Foundation = $9.75
Skills Creation = $9.32
Skills Building = $8.99
Traineeships = $8.99
Apprenticeships = $9.67
Skills Deepening = $7.04 |
| **Industry Sector classification #:**     |                                                                                                           |                                                                                                                                                                                                                                                                |
| Electrical and Electronics, Entertainment, Floristry, Health, Printing or Recreation | $10.41                                                                                                    | Foundation = $10.73
Skills Creation = $10.25
Skills Building = $9.89
Traineeships = $9.89
Apprenticeships = $10.64
Skills Deepening = $7.74 |
| **Industry Sector classification:**       |                                                                                                           |                                                                                                                                                                                                                                                                |
Skills Creation = $11.18
Skills Building = $10.79
Traineeships = $10.79
Apprenticeships = $11.60
Skills Deepening = $8.45 |
| **Industry Sector classification:**       |                                                                                                           |                                                                                                                                                                                                                                                                |
| Aerospace, Engineering, Mining, Plumbing Services, Repair Service and Retail or Vehicle Manufacturing | $12.30                                                                                                    | Foundation = $12.88
Skills Creation = $12.12
Skills Building = $11.69
Traineeships = $11.69
Apprenticeships = $12.57
Skills Deepening = $9.15 |
| **Industry Sector classification:**       |                                                                                                           |                                                                                                                                                                                                                                                                |
| Work Education | $14.19                                                                                                    | Foundation = $14.63 |
| **Cohort classification:**                |                                                                                                           |                                                                                                                                                                                                                                                                |
| Cohort of individuals who self-identify as being of Aboriginal or Torres Strait Islander descent (and are reported as such through the "Indigenous Student Identifier" field of the Student Statistical Report) | $14.19                                                                                                    | Foundation = $14.83
Skills Creation = $13.98
Skills Building = $13.49
Traineeships = $13.49
Apprenticeships = $14.51
Skills Deepening = $10.56 |
| **Cohort classification:**                |                                                                                                           |                                                                                                                                                                                                                                                                |
| Cohort of individuals aged 15-19 years as at the year of commencement of training without Year 12 or equivalent (deemed to be Certificate II for this purpose). | $12.30                                                                                                    | Foundation = $12.88
Skills Creation = $12.12
Skills Building = $11.69
Traineeships = $11.69
Apprenticeships = $12.57
Skills Deepening = $9.15 |

* The Rate per Student Contact Hour and ** mapping of courses to Industry Sectors is subject to change at any time.
5 Tuition Fees
5.1 The Board/Council must adhere to the Ministerial Directions about Fees and related fee tables, or any subsequent relevant directions, in regards to the imposition and collection of tuition fees and other fees for government subsidised training, together with financial and accountability requirements with regards to student fees.

5.2 For additional guidance on Fee Maintenance for Eligible Individuals who are Continuing Students refer to Executive Memorandum 2009-03: Fee Maintenance for commencements prior to 1 July 2009.

6 Determination and payment of Fee Concession Reimbursements
6.1 Where the Board/Council has granted a fee concession:
   a to an Eligible Individual who is a Continuing Student and holds a relevant concession card in accordance with the Ministerial Directions about Fees; or
   b to an Indigenous student under the Indigenous Completions Initiative,
   the Board/Council will be reimbursed by the Commission for income foregone as a result of charging the minimum tuition fee.

General Fee Concession Requirements
6.2 Fee concessions can only be considered for application to individuals undertaking government subsidised training funded under this Agreement.

6.3 The Board/Council must retain copies of all documentation demonstrating an individual’s eligibility for the fee concession granted by the Board/Council for audit purposes and to meet the record keeping requirements of the Ministerial Directions about Fees.

6.4 The Board/Council must report all fee concessions granted by the Board/Council to Eligible Individuals who are Continuing Students to the Commission in accordance with the fee concession reporting requirements outlined in the Victorian VET Student Statistical Collection Guidelines issued by the Commission.

6.5 Income foregone on the basis of concessions granted to individuals in relation to extreme hardship as described in the Ministerial Directions about Fees will not be reimbursed by the Commission.

6.6 Should any audit of the Board/Council under the Agreement identify issues relating to inadequate documentary evidence for fee concessions granted to Eligible Individuals who are Continuing Students, the Commission may seek a refund of some or all of the fee concession payments made by the Commission to the Board/Council under this Agreement.
Fee Concession Reimbursements: Part A and Part B only

6.7 Reimbursement by the Commission under Part A and Part B of this Schedule is on the basis of Student Statistical Reports provided by the Board/Council taking into account scheduled hours; qualifications for enrolments for which concessions were granted; and data indicating the grounds for the concession granted to an individual.

6.8 The reimbursement amount will be calculated by the Commission on the basis of 80 per cent of the applicable maximum hourly tuition fee, up to the applicable maximum, less the minimum fee.

Fee Concession Reimbursements: Part C and Part D only

6.9 Subject to annual audit, the Commission will provide funds within budget provision to defray the costs of mandatory fee concessions relating to Training Services funded through Part C and Part D of this Schedule.

7 Determination and payment of Fee Waiver Payments

General Fee Waiver Requirements

7.1 Fee waivers can only be considered for application to individuals undertaking government subsidised training funded under this Agreement.

7.2 The Board/Council must retain copies of all documentation demonstrating an individual’s eligibility for a fee waiver granted by the Board/Council for audit purposes and to meet the record keeping requirements of the Ministerial Directions about Fees.

7.3 The Board/Council must report all fee waivers granted to Eligible Individuals who are Continuing Students to the Commission in accordance with the fee exemption reporting requirements outlined in the Victorian VET Student Statistical Collection Guidelines issued by the Commission.

7.4 Should any audit of the Board/Council under the Agreement identify issues relating to inadequate documentary evidence for fee waivers granted to Eligible Individuals who are Continuing Students, the Commission may seek a refund of some or all of the fee waiver payments made by the Commission to the Board/Council under this Agreement.

TAFE Fee Waiver for Child Care Qualifications: Part A, Part C and Part D only

7.5 The Board/Council must apply a fee waiver to students undertaking eligible government funded child care courses. Under the National Partnership Agreement, these courses are defined as the following training package qualifications:

a CHC50908 - Diploma of Children’s Services (Early Childhood Education and Care);
b CHC60208 - Advanced Diploma of Children’s Services;
c CHC50302 - Diploma of Children’s Services; and
d CHC60202 - Advanced Diploma of Children’s Services.

7.6 Where the Board/Council has granted a fee waiver under Clause 7.5 of this Schedule to a student who is an Eligible Individual (and who is a Continuing Student), the Board/Council will be paid an amount by the Commission equal to the value of the revenue foregone by the Board/Council in applying the fee waiver to the student.

7.7 For Eligible Individuals (who are Continuing Students) undertaking eligible government funded child care courses who self-identify as being of Aboriginal or Torres Strait Islander descent (and are reported as such through the “Indigenous Student Identifier” field of the Student Statistical Report), the child care fee waiver supersedes the special tuition fee arrangements under the Indigenous Completions Initiative.

7.8 The Board/Council is required to inform current and potential child care students about the tuition fee waiver for child care qualifications in fee policy documents, promotional material such as websites, handbooks, and brochures and through student administration and career counsellor networks.

**Job Seeker Fee Waiver: Part A, Part B, Part C and Part D**

7.9 The Board/Council must apply a fee waiver to an Eligible Individual who is a Job Seeker who is an Eligible Individual and a Continuing Student. A ‘Job Seeker’ is defined as a person who is:

a registered with an Employment Services Provider (ESP); and/or

b a participant in the Commonwealth Government’s Community Development Employment Program and/or Access Program.

7.10 Where the Board/Council has granted a fee waiver under Clause 7.9 of this Schedule to a Job Seeker who is an Eligible Individual and a Continuing Student, the Board/Council will be paid an amount by the Commission equal to the value of the revenue foregone by the Board/Council in applying the fee waiver to the Job Seeker.

7.11 The Job Seeker fee waiver to be applied by the Board/Council is limited to enrolments in Certificates I, II, III, and IV and does not extend to any other fees, such as student services and amenities fees.

7.12 For Eligible Individuals who self-identify as being of Aboriginal or Torres Strait Islander descent (and are reported as such through the “Indigenous Student Identifier” field of the Student Statistical Report), the job seeker fee waiver supersedes the special tuition fee arrangements under the Indigenous Completions Initiative.
7.13 The Board/Council must sight and retain a copy of the original Job Seeker Referral form, and then return the original to the individual. On enrolment, a copy of this form must also be returned by the Board/Council to the Job Seeker’s referral agency.

7.14 Revenue foregone by the Board/Council (for the purposes of calculating the Fee Waiver Payment under this Schedule) will be calculated and reimbursed based on the scheduled hours in each enrolment, up to the relevant category fee cap. Hours below the usual minimum tuition fee will be included.

8 Audits relating to Evidence of Participation

8.1 Should any audit of the Board/Council under the Agreement identify issues relating to a lack of Evidence of Participation for Funds paid to the Board/Council for Training Services provided to Eligible Individuals who are Continuing Students under this Schedule, the Commission may instigate an Evidence of Participation Audit involving a statistically valid sample size.

8.2 The Board/Council must be able to support the sampled units of competency/ modules with Evidence of Participation as prescribed in this Schedule at Clause 3.4, in respect of each Eligible Individual who is a Continuing Student for which it has received Funds from the Commission under this Schedule.

8.3 If the Evidence of Participation Audit reveals that units of competency/ modules audited are not sufficiently supported then, without limiting the Commission’s rights under Clause 15 of the Agreement, where the percentage of unsupported units of competency/ modules exceeds a threshold specified by the Commission, the Commission may seek a refund of some or all the Funds from the Board/Council.

8.4 The value of Funds sought by the Commission under this Clause may be up to the percentage of unsupported units of competency/ modules above the threshold, applied to the total Funds paid to the Board/Council in the 2011 calendar year under this Agreement.
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2011 TAFE Performance Agreement
Training Services Delivery

Schedule 4
TAFE Special Projects

<table>
<thead>
<tr>
<th>VERSION</th>
<th>DATE</th>
<th>COMMENTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2011 Version 1.0</td>
<td>October 2010</td>
<td>Draft Agreement</td>
</tr>
<tr>
<td>2011 Version 1.1</td>
<td>December 2010</td>
<td>Final Agreement</td>
</tr>
</tbody>
</table>
PURPOSE

A This Schedule describes the TAFE Special Projects funded through the 2011 Service Agreement (the Agreement) and must be read in conjunction with the Agreement.

B Payment by the Commission for these Special Project items is as specified in this Schedule 4 and is not included in the Funding Arrangements outlined in Schedule 2 or 3 of this Agreement.

C Any further new initiatives relating to training delivery during the period of this Agreement will be added to this Schedule.

1 Addenda

1.1 This Schedule also includes the following addenda only from the Board/Council’s previous performance agreements on the basis that the period for delivery of Training Services described in these initiatives extends into the period of this Agreement, including:

a Addendum 2008-09 Skill Up Program – Stage 2  «Sched4_1a»
b Addendum 2010-05 Additional Health Sector Funding DHS «Sched4_2»

2 VET in Custodial Settings

2.1 The Board/Council will deliver vocational education and training to individuals held in custodial settings to enhance their opportunities to participate in community life and to gain employment post-release.

2.2 For the purposes of funding provided in respect to this Special Project, an individual is eligible for government subsidised training under this Special Project if the individual is held in a custodial setting as defined in clause 2.10 of the Ministerial Directions about Fees at the following custodial settings only:

a Ararat Prison
b Barwon Prison
c Beechworth Prison
d Dame Phyllis Frost Centre
e Dhurringile Prison
f Langi Kal Kal Prison
g Loddon Prison
2.3 An individual is **not** eligible for government subsidised training under this Special Project if the individual is on a Community Based Order or an individual held in Judy Lazarus Transition Centre as they are able to physically access training outside of custodial settings without supervision.

2.4 The nature of the programs and the amount of training to be delivered to each individual shall be based on individual risk and needs assessments undertaken by the custodial institution’s management in collaboration with the Board/Council.

2.5 The Commission will make payment up to a Custodial Setting Maximum Value of «CorrectionsDollars» to the Board/Council for Training Services delivered in the 2011 calendar year according to the Facility Minimum Value/s identified below. The payments will be made by twice monthly instalments on the 1st and 15th day of each calendar month in 2011.

2.6 Training Services delivered to individuals will be valued and reconciled:

- having regard for the identified per Facility Minimum Value;
- against Funding Source Code ZC with Fee Exemption Identifier M (Prisoner); and
- in accordance with the rates per Student Contact Hour as follows:

<table>
<thead>
<tr>
<th>Rate per Student Contact Hour for training delivered to Continuing Students who enrolled in and commenced their course prior to 1 July 2009*</th>
<th>Rate per Student Contact Hour for training delivered to New Commencements or Continuing Students who enrolled in and commenced their course on or after 1 July 2009*, according to the course categories detailed in Schedule 2 Attachment 2 of this Agreement</th>
</tr>
</thead>
</table>
| $14.19                                                                                                                         | **Foundation Skills** = $14.63  
**Skills Creation** = $13.98  
**Skills Building** = $13.49  
**Traineeships** = $13.49  
**Apprenticeships** = $14.51  
**Skills Deepening** = $10.56 |

*Note: The rates are subject to change and are provided for informational purposes only.
2.7 Any delivery at the custodial settings listed below that is additional to the Custodial Setting Maximum Value specified for VET in custodial settings delivery will not be funded.

2.8 In the event that the value of training delivered is less than the Custodial Setting Maximum Value and/or less than any Facility Minimum Value specified for VET in custodial settings delivery, the Board/Council will be required to return funds to the Commission to the value of either or both shortfalls (whichever applies).
3 New South Wales Apprenticeships

3.1 As a result of a Memorandum of Understanding between the Victorian and New South Wales governments, funding is available as detailed in this Schedule to the Board/Council for the provision of Training Services to Apprentices who are signatory to Contract of Training that has been registered by the New South Wales Department of Education and Training.

3.2 To be eligible, an individual must:
   a be an apprentice whose training contract is current and registered with the New South Wales Department of Education and Training; and
   b have approval from the relevant New South Wales State Training Services Regional Office to undertake training with the Institute.

3.3 The Training Services must be delivered to eligible NSW Apprentices in accordance with Schedule 1 of the Service Agreement.

3.4 For eligible NSW apprentices who commence training on or after 1 January 2011, the relevant conditions under Schedule 2 of this Agreement apply.

3.5 For eligible NSW apprentices who commenced training prior to 1 January 2011, and are continuing their training under the same apprenticeship in 2011, the relevant conditions under Schedule 3 of this Agreement apply.

3.6 In recognition of the possibility of workplace based delivery to these individuals, the requirement that Training Services be delivered within the State of Victoria as specified in Clause 4.1d) of this Service Agreement is not applicable to eligible NSW Apprentices.

3.7 Training Services provided to eligible NSW Apprentices must be reported by the Board/Council against Funding Source Code ‘Z75’.

3.8 The Commission will make a NSW Apprenticeships Advance Payment of «NSW_Apps_» to the Board/Council towards Training Services delivered in the 2011 calendar year to eligible NSW Apprentices.

3.9 The Commission will pay the Board/Council the NSW Apprenticeships Advance Payment by way of financial advances made by twice monthly payments on the 1st and 15th day of the calendar month.
3.10 The final Student Statistical Report for 2011 will be used to determine the quantum of verifiable SCH that have been delivered towards the NSW Apprenticeships Advance Payment subject to Clause 3.11.

3.11 In reconciling Training Services for eligible NSW Apprentices, details of all 2011 training delivery reported against Funding Source Code Z75 will be forwarded to the Department for Education and Training New South Wales for validation. Training Services under this Clause 3 will only be funded where the Department for Education and Training New South Wales has validated the delivery, and all other conditions are met.

3.12 Following validations outlined in Clause 3.11, in the event that the value of Training Services delivered by the Board/Council to eligible NSW Apprentices in the 2011 calendar year is greater than the NSW Apprenticeships Advance Payment, the Commission will make a supplementary payment retrospectively.

3.13 Following validations outlined in Clause 3.11, in the event that the value of Training Services delivered by the Board/Council to eligible NSW Apprentices in the 2011 calendar year is less than the NSW Apprenticeships Advance Payment the Board/Council must return funds to the Commission to the value of that shortfall.

OR (As relevant)

3 New South Wales Apprenticeships

3.1 As a result of a Memorandum of Understanding between the Victorian and New South Wales governments, funding is available as detailed in this Schedule to the Board/Council for the provision of Training Services to Apprentices who are signatory to Contract of Training that has been registered by the New South Wales Department of Education and Training.

3.2 To be eligible, an individual must:

a be an apprentice whose training contract is current and registered with the New South Wales Department of Education and Training; and

b have approval from the relevant New South Wales State Training Services Regional Office to undertake training with the Institute.

3.3 The Training Services must be delivered to eligible NSW Apprentices in accordance with Schedule 1 of the Service Agreement.

3.4 For eligible NSW apprentices who commence training on or after 1 January 2011, the relevant conditions under Schedule 2 of this Agreement apply.
3.5 For eligible NSW apprentices who commenced training prior to 1 January 2011, and are continuing their training under the same apprenticeship in 2011, the relevant conditions under Schedule 3 of this Agreement apply.

3.6 In recognition of the possibility of workplace based delivery to these individuals, the requirement that Training Services be delivered within the State of Victoria as specified in Clause 4.1d) of this Service Agreement is not applicable to eligible NSW Apprentices.

3.7 Training Services provided to eligible NSW Apprentices must be reported by the Board/Council against Funding Source Code ‘Z75’.

3.8 The final Student Statistical Report for 2011 will be used to determine the quantum of verifiable SCH that have been delivered subject to Clause 3.9.

3.9 In reconciling Training Services for eligible NSW Apprentices, details of all 2011 training delivery reported against Funding Source Code Z75 will be forwarded to the Department for Education and Training New South Wales for validation. Training Services under this Clause 3 will only be funded where the Department for Education and Training New South Wales has validated the delivery, and all other conditions are met.

3.10 Following validations outlined in Clause 3.9, the Commission will make a retrospective payment in 2012 for Training Services delivered in the 2011 calendar year to eligible NSW Apprentices.