



Department of Education

E-RISEC

Researcher/Applicant User Guidelines

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Department of Education

1. Overview

1.1 What is E-RISEC?

The Research in Schools and Early Childhood Settings (RISEC) application process is managed by the Department of Education. It aims to ensure that research conducted with the involvement of government schools and early childhood settings is appropriate to the goals of the education system and upholds the department's duty of care for students and staff.

The RISEC process provides approval for researchers to approach schools and early childhood settings to request participation in research. Participation in research by either the school, site operator or individual participants is completely voluntary and at their own discretion.

E-RISEC is the department's software tool used to manage the submission, review and approval processes for RISEC applications. It enables researchers to apply online to conduct research in department sites and supports the capture of research findings following the completion of the research.

The underlying software supporting E-RISEC is named eProtocol. General information regarding this software and vendor contact details can be found <u>here</u>.

1.2 Introduction to this guide

This user guide is intended to be used in conjunction with the RISEC guidelines for anyone who is applying to conduct research with Victorian government schools, early childhood settings or other department sites. This guide provides an overview of the E-RISEC application process and the functions that Researchers are able to perform in the E-RISEC system, including:

- Requesting an E-RISEC account and logging into E-RISEC
- Viewing applications
- Completing and submitting an application
- Responding to return notes and feedback
- Submitting an amendment application
- Withdrawing an application
- Accessing your approval letter
- Submitting a completion report

1.3 Glossary of Key Terms

Term	Definition
Amendment	A revision or update to an approved application. Like new applications, amendments must be submitted and approved.
Protocol ID / RISEC number	A unique ID used for identification purposes that is assigned to an application when it is created. The Protocol ID remains assigned to the application permanently. If you need to contact the department regarding a RISEC application, please provide the Protocol ID/RISEC number to assist your request.



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2. Researcher

2.1 The Researcher role

The Researcher role refers to the person responsible for submitting an E-RISEC application for the department's review for the purpose of conducting research in government schools and early childhood settings. The Researcher is also responsible for responding to reviewers' feedback and comments and uploading a summary report at the end of the project.

The Researcher is generally the primary or lead researcher/investigator of a research project and is the main contact for the purposes of the RISEC application.

2.2 Accessing E-RISEC

Create an eduPass account with E-RISEC access

To submit an E-RISEC application, you will need to create an account through eduPass.

To create an account, access the eduPass homepage.

Stote Government	ducation d Training eduPass	
	Welcome to eduPass	;
	I don't have an account. Invitation received	I have an account.
	Request an Account	<u>Reset forgotten password</u> <u>Find out your UserID</u>

Click on Request an Account. If you already have an eduPass account, click Log in instead.

After clicking on **Request an Account**, a list of applications will be shown. Tick the application you want to access (E-RISEC) and click **Next**.

Please ensure you only tick E-RISEC.



Choose applications	Register your details	Confirm email addre	ess
-			
Please choose which appli	cation(s) you need acco	ess to.	
Note: Please complete each step If you have received an invitation	of this process within 20 minu n to access applications, pleas	ites or you will need to sta e <u>enter your invitation cod</u>	rt again. le instead.
SCFA Program Auditor Portal			
Schools Connect			
RSG Partner Portal			
Rolling Facilities Evaluation (RF	E)		
Kinder Capacity Assessment Co	ollaboration Site		
TAFE Family Violence Preventio	n Pilots		
Literacy & Numeracy Strategy			
PLC Evaluation			
Reading Recovery			
Certificate IV in Business for S	chool Managers		
Student Conveyance Allowance	e System (SCAS)		
E-RISEC			
Child Link Board Partner Portal			
CSEF			
Animal Ethics Approval and Rep	porting System		
Student Scholarships			
Apprenticeships Victoria - BBA	Client Managem ent		
VRQA Reviewer Portal			
Communications for CRT profes	ssional learning		
Next			

Follow the prompts to register user details, then click **Next**.

A verification code will be sent to the email address provided. Enter this code and click Submit.

In order to have your access validated, you need to also email <u>research@education.vic.gov.au</u> advising of your request.

Once the access request is approved, you will receive an email with your eduPass login ID and a temporary password. Click the email link provided and enter your username and temporary password.

Use the Activate Account form to set your own security questions and password.

You will then be able to use the eduPass account to access E-RISEC and submit applications.

Request E-RISEC access with existing eduPass account

If you already have an eduPass account, the following screen will be displayed after you log in.



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)) -	VICTOR						
	Home	Home > My Account				Log	out EDUWEB\ECKKSTO
	My Account	My Account					
		Tasks > Update my details > Change my secret questions > Change my cassword (external link) > Manage application access > Rescond to an invitation					
		Choose applications Register your details Confirm em ail address					
		Please choose which application(s) you need access to.					
		Note: Please complete each step of this process within 20 minutes or you will need to start If you have received an invitation to access applications, please <u>enter your invitation code</u>	again. instead.				
		SCFA Program Auditor Portal					
		Schools Connect					
		RSG Partner Portal					
		Rolling Fadlities Evaluation (RFE)					
		Kinder Capacity Assessment Collaboration Site					
		TAFE Family Violence Prevention Pilots					
		Literacy & Numeracy Strategy					
		PLC Evaluation					
		Reading Recovery					
		Certificate IV in Business for School Managers					
		Student Conveyance Allowance System (SCAS)					
		E-NISEC					
		Animal Ethics Approval and Reporting System					
		Student Scholarshins					
		Apprenticeshins Victoria - BBA Client Management					
		VROA Reviewer Portal					
		Communications for CRT professional learning					
		Next					

To request access to E-RISEC select **Manage Application Access**. Follow the prompts to register user details, then click **Next**. A verification code will be sent to the email address provided. Enter this code and click **Submit**.

In order to have your access validated, you need to also email <u>research@education.vic.gov.au</u> advising of your request.

Once the access request is approved, you will receive an email with your eduPass login ID and a temporary password. Click the email link provided and enter your username and temporary password.

Use the Activate Account form to set your own security questions and password.



You will then be able to use the eduPass account to access E-RISEC and submit applications.

Your E-RISEC credentials will be: (USERNAME)@eduweb.edu.vic.gov.au, and whatever password you have set for yourself.

2.3 Researcher Homepage

Once you log into E-RISEC, you will be taken to the Researcher homepage. The **homepage** is divided into two sections: the **header** and the **homepage body**. Each section has its own shortcuts that allow you to go to specific locations or functions.



Caution: Do not use the browser's forward and backward arrows to navigate. Since *E*-*RISEC* is a secure application, using the forward and backward arrows is a security risk and you will be logged out of *E*-*RISEC*.



Caution: You will need to ensure that your internet browser has pop-ups enabled while using E-RISEC. Pop-up blocking software prevents E-RISEC from opening certain windows.



Note: A Help button is located on every page in E-RISEC. Click a Help button at any time for tips about the current page.

Header Shortcuts

At the top left of the homepage is the header menu. To navigate to the Researcher submenu, click on **eProtocol** and then **Researcher**. From this submenu, you may perform the following functions:

- 1. Navigate to the Research homepage
- 2. View approved applications
- 2. Clone an application
- 3. Create an application
- 4. Delete an application
- 5. Search for an application

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Application Manager		
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Researcher	Researcher Home 🛛 🛞	
Secondary Reviewer	Approved Protocols	
Site Admin	Clone Protocol	IRB
Unit Manager	Create Protocol	Protocols (In Preparation / Su
Viewer	Delete Protocol	NEW
	Search Protocol	
		AMENDMENT
		CONTINUING REVIEW
		FINAL REPORT



On the top right of the page, you can perform the following functions by clicking on the menu icon:

- 1. Access the Help page
- 2. View the E-RISEC version number
- 3. Sign out of E-RISEC

		Comprenensive II for Research
Maher (Depa	artment of Educat	tion Victoria) - Researcher 🗮
		Нејр
		Version: 2.7.96.9
		Sign Out
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Homepage Body Shortcuts

The homepage body is located below the header. In the homepage body, you can view and perform the following functions:

- 1. Know your location in E-RISEC by viewing the breadcrumbs
- 2. Create an application
- 3. Clone an application
- 4. Delete an application
- 5. View or edit an application by selecting the link under the Protocol ID column
- 6. View new applications and amendments, applications under review, applications for which the completion report, approval applications and inactive applications.

State Government dand Training		KEY SOLUTIONS Comprehensive IT for Research
eProtocol ~	Maher (Department of Education Victori	a) - Researcher \equiv
eProtocol » Researche	rf > Home	
IRB	Create Protocol Cione Protocol Delete Protocol	
Protocols (In Prepara	ation / Submitted)	
NEW	*	
	Currently there are no Submitted protocols to process.	
AMENDMENT	¥	
	Currently there are no Amendment protocols.	
CONTINUING REVIE	w ¥	
	Currently there are no Continuing Review protocols.	
FINAL REPORT	S Currently there are no Final Report forms.	
Approved Protocols	Currently there are no American Distance.	
	Currently there are no Approved Pfotocols.	
Non Active Protocols	S Currently there are no Non Active Protocols.	



3. E-RISEC Application

3.1 Application overview

An application consists of a header, the left-side navigation pane, and the application content pane.

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onnel Information					
art Chacklist	* Starred items indicate required fields	whe	enever that section is completed.		
a section	Principal Researcher*				
y Location	Department of Education and Trainin	ng V	/ictoria defines "Researcher" as an individual who	o condu	icts a
eral Checklist	research study. If the study is condu- of the team, Students, fellows and re-	icte esid	d by a team of individuals, the Researcher is the r ents may not act as a Principal Researcher.	espons	ible leade
ling	Name of Principal Researcher *		Qualifications	Title	
col Information	Maher, Juliette	74			
arch Agreement	Email *		Phone	Posta	l Address
View	Juliette.Maher@education.vic.gov.au				
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	Organisation/University		Please indicate if the project is student research (undergraduate, honours or postgraduate)		
	Select One	7	Student		
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The header consists of shortcuts that allow you to perform the following:

- Save your application
- Perform a Spell Check on the current page
- View the Help pop-up
- Close your application
- Navigate to the Previous page
- Navigate to the Next page

The left-side navigation pane allows you to perform the following functions:

- Navigate to a specific page in the application form
- Print all or part of the application (Print View)
- Event history of the application

The application content pane contains questions regarding your project that you must provide responses to in order to submit your application.

3.2 Submitting an application

To create a new RISEC application, navigate to the **Researcher Submenu** and select **Create Protocol** or select **Create Protocol** on the homepage. A new window will appear.

		Create Cano
	Qualifications	Title
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	Phone	Doetal Address
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A pop-up page will open with the application form. You will need to have pop-ups enabled on your web browser to use the E-RISEC system.

Follow the prompts to complete the application. Please note that some of your selections determine which questions become enabled in subsequent sections. You may navigate to previous pages of the application at any time if you feel you have made a mistake in your responses.

You will need to complete all mandatory fields before navigating to the next page. If you attempt to proceed to a subsequent (and sometimes a previous) page and without completing the mandatory fields (*), a notification will appear at the top of the page.

Important: Please note that many fields, while not mandatory in the E-RISEC form may be required to submit a complete application. Please consider each question and field in the form and provide adequate responses where applicable to your application. Incomplete applications will be returned and may result in longer timeframes of approval.

Use the **Submit Form** tab to submit your application. When submitting your application, a pop-up will appear if your application includes incomplete sections. Click a link to navigate to the desired section and complete it.

Once you have saved and submitted your application, the pop-up window can be closed. You will then see 'SUBMITTED' in the Protocol event column confirming your submission. An email will also be sent to your email address, associated with your E-RISEC account confirming your submission.

Emails from the E-RISEC system will come from <u>research@eduvic.keyusa.net</u>. Please note this is a no reply email address. Any enquiries related to RISEC should be directed to <u>research@education.vic.gov.au</u>

3.2.1 Adding additional researchers (including those who do not have E-RISEC access)

If you are a **student researcher, your academic supervisor must also have an E-RISEC account**, to review, verify and also sign the research agreement of your application. Applications from student researchers cannot be submitted until both the student researcher and academic supervisor have electronically filled out the research agreement at the end of the application form.

Any additional researchers can be added using the binocular icon, and searching for their name, if they have an E-RISEC account. **If you wish to add any additional researchers to the application, they must also request access and set up an E-RISEC account (Section 2.2).** This will be necessary for any researchers who require visibility of the application. This is best done, before you commence the application process. However, you can save and come back once all additional researchers, to be listed on the application have set-up their E-RISEC account.

If you are submitting the application on behalf of another Chief Investigator, Lead Researcher, Project lead than please add them to the application under 'Academic Supervisor/ Chief Investigator/Lead Researcher or Project Lead' in the section for personnel and indicate their role. Please note anyone added here must verify your application and sign the research agreement at the end. This means they need to have a validated E-RISEC account (they must set up their account and login).



All researchers involved in the project must be listed on the application. If you wish to add additional researchers to the application form, who do not have an E-RISEC account, you may use the Other Personnel section to do so. See below.

1. On the Personnel Information section of the E-RISEC form you can add researchers or other personnel involved in the project under the Other personnel heading.

📦 eProtocol - Department of Education, Victoria - Other P	ersonnel — Mozilla Firefox				-	-		×
O A ≅ https://eduvic-uat.keyusa.net/FORM_PERSONNELINFO.do							பி	=
Note: * denotes mandatory field.								
Other Personnel					S	ave	Cano	:el
The Department of Education Victoria defines "Other Personnel" as individuals who assist the Principal Researcher in areas of the research p								
Name of Other Personnel	Title							
Joe Bloggs								
Email*	Phone	Postal	Addres	5				
Organisation/University								
Select One								
Has evidence of a valid Working With Children Check be	een provided? *	Yes	No					
If a valid Working With Children Check is not provided p	lease explain why?							
· · · ·								
Evidence of a valid working with children check is requi	ired if the researcher will have contact with children (inc	ludina in-	person	or via electronic	comn	nunica	tion), b	e on
school grounds, or visit an early childhood setting.		-						
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- 2. Click Add
- 3. A pop-up will open. Click on the binocular login and then on the prompt circled above, to add other personnel.

Department of Education							neer
ne of Other Personnel	Victoria defines "Other F	Personnel" as individual Degree (MD/PhD/BSN	s who assist the Principal Re /etc.)	esearcher in areas of the research p Title	rocess.		
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lence of a valid working	Click here	to add Other Personnel,	if you are sure the User ID do	es not exist for the person.			
iool grounds, or visit an							

4. You will then be able to manually input additional researchers' names and details to be listed on the application.



5. Please note researchers added to an application in this way will not have visibility of the application in the system. This should only be utilised when additional researchers do not need to be involved in the RISEC approval process but will be involved in the research in some way.

3.3 Application fields and response types

To complete an application, you need to know the different response options you have and how to use them. The response options in E-RISEC include:

Binocular Icons	for selecting an E-RISEC user from the existing set of active users
Text Fields	for providing an unstructured response
Dropdowns	for selecting one option from a predefined list
Yes, No, N/A Buttons	for providing a clear, succinct response to a question
Calendar Icons	for selecting a date
Add Buttons	for adding items to a structured list
Checkboxes	for selecting one or more responses from a predetermined set
Attachments	for adding a pre-existing file to the application
Radio Button	For selecting an option (appears as a circular checkbox)

Binocular icons

The **binocular icon** is used to search for a user. When you select the icon, the **Find User** pop-up appears. You may choose to search for a user by entering the User ID, the First Name, or the Last Name. Then, click **Find**.

Other Investigator(s)	
Name of Other Investigator	_
Email *	
Linan	

Select the user by clicking the corresponding radio button. Then, click OK.

Fin	d User					Find
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Firs	t Name:					
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	User ID	User Name	Title	Department	Email	
0	User ID jdoe	User Name Doe, John	Title	Department	Email	



Text fields

A **text field** is a box where you can enter text, as long as the text field is enabled (contains a white background). When a text field is disabled (greyed-out), no information is needed. Click into the text field to add information.

Some text fields have character limitations. You will receive an error message if you exceed the character limit.

Your responses may determine if a text field is enabled or not. For example, if your response requires additional information, a text field enables and becomes mandatory for the section.

Dropdowns

Dropdowns allow you to select one value from a list. Click the downward facing arrow to open the dropdown. Then, click on one to select a value.

Yes, No, N/A buttons

These buttons allow you to answer **Yes** or **No** questions. Depending on the question, the **N/A** button may not always be available.

Select the appropriate button. A selected button has a dark background and displays a checkmark on the left. Your response may enable an additional Yes, No, or N/A buttons and/or a text field



Calendar icons

Use the **calendar icon** to input a date. When you click on the icon, a calendar pop-up appears. Select a date on the calendar. Use singular arrows to change the month and double arrows to change the year.



Add buttons

Use the **Add** button to add additional information to a subject. When you click **Add**, a pop-up appears. Complete the section and click **Save** when you are finished.

Additional Researchers or Supervisors Add Delete					
	Name of Additional Researchers or Supervisors	Qualifications	Title	Type of Researcher	
	Michael, Atherton			Co-Investigator	



Checkboxes

A **checkbox** is a square icon that you can select, and a checkmark appears on the inside. Some questions allow you to select multiple checkboxes, as necessary.

Attachments

An **attachment** is a document that you need to upload to your application to clarify or explain a process or topic in your study.

All attachments to your RISEC application can be uploaded in the *Required Supporting Documentation* section in E-RISEC.

This section also details the list of attachments that need to be provided to submit your application. Please also refer to the RISEC Guidelines for further information on what should be attached to a RISEC application.

Important: Ensure you upload all required documentation to ensure timely processing of your application. RISEC applications submitted without the required documentation will be returned to the researcher for re-submission.

To upload an attachment, perform the following tasks:

Click Add.

Attachment	Add	Delete
Click the 'Add' button to add 'Attachment'		

Click Browse.

Attachment	Save Cancel
Document Type *	Select One
Attachment *	Browse

Select and **Open** the file.

🖹 Open						×
← → ∨ ↑ 📕 -	Desk.	> blank sample docume	<u>ب</u>	Search blank sa	mple docu	ments 🔎
Organize - New fo	older				· .	10
S This PC	^	Name		Date mod	ified	Туре
👆 3D Objects		Letter of Agreement.c	locx	2/14/2018	12:10 P	Microsof
늘 Desktop		ample Document.do	cx	2/14/2018	11:58 A	Microsof
Documents						
🔈 Downloads						
🐌 Music						
E Pictures	~ <					2
Fil	le nam	e: Sample Document.docx	× _	All Files		~
				Open 🔻	Ca	ncel



Select the **Document Type** you are uploading from the drop-down menu.

eProtocol - Department of Education, Victoria - Attac	chment - Work - Microsoft Edge — 🗌 .
https://eduvic.keyusa.net/FORM_ATTACH	MENTS.do?PTL_SECTION_ID=200&PTL_OBJECT_ID=200_109&PTL /
Attachment	Save Cancel
Document Type *	Select One V
Attachment *	Select One Consent form - parents/quardians
Document Name	Consent form - principals/directors Consent form - students/children Consent form - teachers/staff HREC approval evidence HREC submission receipt Letter to parents/guardians Letter to parincipant groups Letter to principals or site directors National Application Form Plain Language Statement (PLS) Research Instrument/Questionnaire/Survey/Interview Guide Working with Children Card

Click Save.

Attachment		Save	Cancel
Document Type *	Select One		~
Attachment *	Browse Sample Documen	t.docx	
Document Name	Sample Document		

3.4 Application checks

When you are creating an application, E-RISEC checks for complete sections in two ways:

- 1. Page Level Check
- 2. Check for Completeness

Page Level Check

The Page Level Check (PLC) scans the current page for mandatory questions which are incomplete. If you attempt to proceed to a subsequent (and sometimes a previous) page and without completing the mandatory fields (*), a PLC notification appears at the top of the page. Complete the mandatory fields in order to proceed.

* Please select Type of CITI Training for Principal Investigator.

Check for Completeness

The Check for Completeness (CFC) scans the entire application and notifies you if there are any areas that are incomplete. You cannot submit an application until the CFC confirms that all mandatory sections are complete. If you attempt to submit an application that contains incomplete sections, the CFC pop-up appears and displays links to the incomplete sections. Click a link to navigate to the desired section and complete it.



Department of Education

	Prin	cipal Researcher*				1000
Study Location	Depa	rtment of Education and	Training Victoria defines "	Researcher" as an individua	al who conducts a	
General Checklist	resea	arch study. If the study is team. Students, fellows	conducted by a team of in and residents may not ac	dividuals, the Researcher is t as a Principal Researcher.	the responsible leader	
Funding	Name	e of Principal Researcher	* Qualifications		Title	
Protocol Information	Cunr	ningham, Mitch				
Research Agreement	🕒 ePro	tocol - Department of Edu	cation, Victoria - CFC - Work	: - Microsoft Edge		_
Check For Completeness	A 1	https://eduvic-uat.kevu	sa net/EORM_CEC.do			
Submit Form		intpo//eduvic duticeyu	Sumeer onthis of class			
Print View	Protoc	col ID: 22-03-0067	Prin	cipal Investigator: Cunningh	am, Mitch	
Event History	IRB FO	Resolution				
	1	Research Agreement - Pl	ease select the checkbox de	clare the information provided	I in this application to be tru	e and corre
		Personnel Researcher. Research Agreement - Pl	assa select the checkbox de	clare the information provided	Lin this application to be tru	and corre
	2	Academic Supervisor.	Case select the checkbox de	clare the information provided	rin this application to be the	
	S	upervisors				
		ichael Atherton			Co-Investigator	

When you complete the initial sections of an application, the CFC button appears in the left-side navigation pane. You may use CFC as often as you want. If you use CFC and there are no incomplete sections remaining, the CFC pop-up appears stating that the application is complete.

Important: Please note that many fields, while not mandatory in the E-RISEC form may be required to submit a complete application. Please consider each question and field in the form and provide adequate responses where applicable to your application. Applications that are not complete will be returned and may result in longer timeframes of approval.

3.5 Receiving and responding to feedback

When your application has undergone review by the Research team at the department. They may send comments to you, that require action before the application can proceed further. You will receive an email notification from research@eduvic.keyusa.net advising you that your application has received feedback. **All comments should be responded to in E-RISEC.**

The 'Protocol Event' displayed on the home page will be changed to 'Comments Received

(Cycle 1)'. Click in this wording to access the comments.

22-03-0077	Michael, Atherton	Comments Received (Cycle 1) - Completed	N-PROGRESS	SRU	03/04/2022
Protocol ID	Principal Investigator	Protocol Event	Status/Comments	Panel	Meeting Date

Read the Reviewer's comments. If the Reviewer has selected **Response Necessary for Approval**, you will need to respond to the comment and make changes as necessary in order for your application to be considered for approval. If the Reviewer has selected **Suggestion Not Necessary for Approval** you do not have to make any changes or respond to be considered for approval, but you may consider the Reviewer's suggestion.

After you have submitted your application, you may only make changes to the application when it is sent back to you.



To respond to a Reviewer's comment. Click **Get Protocol** on the Comments page. Click **Edit** when prompted to open the application in Edit mode.

Make the necessary changes to your application and click **Save**. After saving the application, close the application to return to the Comments page.

Select the text field and enter your response to the Reviewer's comments, then click **Save**. Once you save, you may exit the Comments page and continue later. Clicking Save will save all of your responses. You do not need to click save after each response, but it is a good idea.

When you have finished making edits to the application and responded to all of the appropriate comments, click **Submit.**

A resubmission confirmation pop-up will appear. Once you resubmit your revised application and responses, you cannot edit them. Click **OK** to confirm.

Once the Reviewer has reviewed your responses, they may send additional feedback that requires action. The review cycle will continue, as above until there is no further feedback.

Once your application has been approved it will appear under Approved Protocols on the Researcher homepage. You will receive an email notification noting the approval of your application. For instructions on how to access your approval letter see section 3.7.

3.6 Submitting an amendment application

Navigate to the Researcher homepage. Under the Approved Protocols section, click the link listed under the Protocol ID column. A pop-up window will appear.

Select Start Amendment and click OK.

22-03-0061	Maher, Juliette	Yet to Submit	to IRB	NEW					
					🖞 eProtocol - Department of Education, Vict — 🛛	×			
AMENDMENT					https://eduvic-uat.keyusa.net/doGetProtocolDecisio				
		Currently the	re are no Ame	endment pro	0				
CONTINUING F	REVIEW	rently there a	are no Continu	ing Review	Approved Protocol Decision Please select any one of the following:				
FINAL REPOR	Т				Open in View Mode Protocol Details				
		Currently ti	nere are no Fin	nal Report f	 Start Amendment 				
					Start Final Report Form				
Approved Proto	ocols								
Protocol ID	Principal Investigator	Approval Date	Last Approval Date	Expiration Date	OK Close				
22-03-0068	Maher, Juliette	10/03/2022	10/03/2022	09/03/2027					
Non Active Prot	tocols	Currently th	ere are no Non A	Active Protoc					

Follow the prompts to complete the amendment form. Use the navigation sidebar to update specific sections of the application that you are requesting to amend. Use the **Required Supporting Documentation** tab to upload revised documentation.



If a document is being updated, please do not delete any of the previous versions. <u>No attachments</u> <u>submitted in the original application should be deleted, these should be retained for record keeping</u> <u>purposes.</u>

Please indicate in the title of the document the version number of the document so that the reviewer can assess the updated version against the previous version.

Navigate to the Research Agreement tab and complete the agreement.

Use the **Submit Form** tab to submit your amendment application. The review cycle will be undertaken as for the original application.

3.7 Accessing your Approval Letter

Once the application has been approved the contacts listed on the application will receive email notification with instructions on how to access the approval letter in E-RISEC. Open the application and, on the left-hand navigation, last option, select 'Event History'.

The approval letter can be accessed in the 'Letters' column. Please ensure you save a copy for your own records and make note of the conditions of approval.

			1 1								
	Protocol Information	19/01/2022 NEW FORM SUBMITTED			View Attachments						
	Research Agreement	19/01/2022	NEW FOR	M PANEL ASSIGNED							
	IRB Use Only	19/01/2022	NEW FOR	M REVIEWER(S) ASSIGNED							
	Staff Notes	19/01/2022	NEW FOR	RM APPROVED	View Attachments	Approval Letter					
	Return Notes 19/01/2022		FINAL FOR	RM CREATED							
_	Print View	Email History									
(Event History	2022									
		Email Date		Email Type	Attachments						
		19/01/2022		IRB Protocol Approved: 22-01-00	39, Michael, Atherton						
		19/01/2022		IRB Recommended for Approval: Atherton	22-01-0039, Michael,						
		19/01/2022		IRB Protocol Assign to Panel: 22 Atherton	-01-0039, Michael,						
		19/01/2022		IRB Protocol has been submitted Atherton	: 22-01-0039, Michael,						

When the approval has been processed in the system it will appear at the bottom of your home page under 'Approved Applications'.

4. Final Report

To close an application, a Final Report must be submitted once the research is finalised. A Completion Report is a concise summary report of the research and its key findings.

A condition of approval from the department is to provide the department with a summary of the approved research's findings.

To submit a Final Report, navigate to the Researcher homepage. Under the Approved Protocols section, click the link listed under the Protocol ID column. A pop-up window will appear.

Select Start Final Report and click OK.



22-03-0002	marier, Juliette	Tet to Submit	IU IIND	INL VV								_		
<u>22-03-0061</u>	Maher, Juliette	Yet to Submit	to IRB	NEW	C,	Protocol -	Depa	rtment	of Edu	cation, V	ict	_	0	×
AMENDMENT					Ô	https:/	/edu	vic-uat	.keyus	sa.net/c	doGet	Protoc	olDecis	io
		Currently the	ere are no Ame	ndment pro										
CONTINUING F	REVIEW	rently there	are no Continu	ing Review		Approved Please se	Proto	ocol Dec any one	cision of the t	following	J:			
FINAL REPORT	FINAL REPORT Currently there are no Final Report fo						000	Open ir Protoco Start Ar	i View I I Detai nendm	Mode Is ent				
Approved Proto	ocols			_			Ō	Start Fi	nal Rep	oort Forr	n			
Protocol ID	Principal Investigator	Approval Date	Last Approval Date	Expiration Date				ОК	Clo	ose				
22-03-0068	Maher, Juliette	10/03/2022	10/03/2022	09/03/2027										
Non Active Prot	ocols			_										
	Currently there are no Non Active Protoco													

Follow the prompts to open the Final Report form and click **Continue**. A pop-up window will appear.

Use the **Submit Form** tab in the navigation panel to submit your Final Report.

5. FAQ

Question	Answer				
My login details aren't working on E-RISEC, even after I've set up my EduPass account.	E-RISEC uses your EduPass credentials. Please use the full username for your E-RISEC login. This should be 'username@eduweb.edu.vic.gov.au' and your password you have used to set up EduPass.				
	research@education.vic.gov.au to re-set your password.				
I've requested an EduPass account for the purposes of E-RISEC, but nothing has happened yet.	Please note that access to EduPass for the purpose of E-RISEC is manually granted. Access requests are actioned during business hours of 9am – 5pm on weekdays. Please email <u>research@education.vic.gov.au</u> to validate your request.				
My project will involve direct contact with children. How should I provide evidence of my valid Working with Children Check?	The department requires that all researchers in direct contact with children and young people have a current <u>Working with Children</u> <u>Check.</u> Direct contact includes, but is not limited to, conducting interviews, focus groups, electronic communication, or visiting schools or early childhood settings to conduct observations.				
	Evidence of a valid WWCC must be emailed to <u>research@education.vic.gov.au</u> as part of the RISEC application. Researchers will be prompted to do this as part of the RISEC application.				



My project is a National Application, can I use the National Application form, instead of the RISEC form in E-RISEC?	You may submit your RISEC application using the National Application form using E-RISEC. Please create a new application in E-RISEC and fill in the baseline information required on the application form (such as project title, keywords, project dates). Please upload the National Application form in the supporting documentation tab and indicate for the department to refer to this in the application form.
I've set up an EduPass account for the purposes of E-RISEC, my colleague/ student I am supervising who is submitting the application can't find me in E-RISEC to add me to the application.	Please ensure you're account is validated. This means you will need to set-up your account and login to E-RISEC for your account to be fully activated. Once you have logged in once, you will be searchable in the system and can be added to applications in the appropriate role.
I've submitted an application, however I need to edit it, but I can only view the application in view mode.	Please note that once an application is submitted you will not have edit access. For this reason please ensure the application has been reviewed and is completed before submitting. If you need to unlock your application for editing please email <u>research@education.vic.gov.au</u>
I am submitting the application on behalf of my research team, but I am not the Chief Investigator, Lead Researcher, Project lead etc.	E-RISEC will automatically make the person submitting the application the Principal Investigator. If you are submitting the application on behalf of another Chief Investigator, Lead Researcher, Project lead than please add them to the application under 'Academic Supervisor/ Chief Investigator/Lead Researcher or Project Lead' in the section for personnel and indicate their role. Please note anyone added here must verify your application and sign the research agreement at the end. This means they need to have a validated E-RISEC account (they must set up their account and login). Please see section 3.2.1 of this guide for steps on how to add another researcher in this role.
I am a student researcher; the application form is not letting me get to the next page.	All student researchers must add their academic supervisor to their application, to proceed. The reason for this is that your academic researcher must verify your application and sign the research agreement at the end. This means they need to have a validated E- RISEC account (they must set up their account and login). Please see section 3.2.1 of this guide for steps on how to add your academic supervisor.
l've created an application on the system and wish to delete it. How do I do this?	If you need to delete an application, you are yet to submit, please select 'delete protocol' from the top of the review home page. From here you can select which application you wish to delete by ticking the corresponding checkbox in the first column on the left. You may tick multiple checkboxes to delete multiple applications at once. The checkbox at the top of the column will select and deselect all checkboxes. Once you've selected which submission you would like to delete, select 'delete protocol' from the top of the page. A pop-up window will appear. Confirm you wish to delete the selected applications by selecting 'yes'.



I want to upload a video to E-RISEC as this is required for my project. How do I do this?	Videos cannot be uploaded directly into an E-RISEC application; however, they can be embedded into a document which can then be attached under the supporting documentation section of the application.
	To embed a video into MS Word, PowerPoint or Excel, please refer to the following instructions:
	1. On the Insert menu, click Object, and then click the Create from File tab.
	2. Click Browse and locate the movie (video clip or sound wave) you want to insert.
	3. Select Movie (video clip or sound wave) 4. Click Insert.
	5. To play media clip, double-click its icon.6. Save using the preferred file name.
I've submitted my application, but I haven't heard anything back, what should I do?	RISEC applications can take approximately 6 weeks to be approved from the date all materials are received. If it has been longer than 6 weeks, you can reach out to <u>research@education.vic.gov.au</u>
I can't find the answer to the response I need in this guide – who do I contact for assistance?	Please email <u>research@education.vic.gov.au</u> if you need assistance with something that is not contained within this guide.

